

**IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION**

KOJI IP, LLC,

Plaintiff,

v.

RENESAS ELECTRONICS AMERICA,
INC.,

Defendant.

Case No.: 3:24-cv-03089-PHK

**DECLARATION OF WILLIAM P.
RAMEY, III**

I, William Ramey, declare as follows:

1. My name is William P. Ramey, III. I am over the age of 21. I have personal knowledge of the facts contained herein, which are true and correct. If called as a witness, I could competently testify to these statements.

2. I am licensed to practice law in the state of Texas and am an attorney with the law firm of Ramey LLP and I am admitted pro hac vice in this case. I represent the Plaintiff in the above-captioned lawsuit.

3. Exhibit A is a true and correct copy of the hearing transcript from the September 24, 2024 hearing on the Magistrate Court's Order to Show Cause.

4. Exhibit B is a chart listing the 56 cases as identified by the Magistrate Court in its Order Regrading OSC and Imposing Sanctions on Ramey Firm Lawyers at pages 4 through 8.¹ Each of case 1-7, 9-21, 43, 50, and 53-56 were transferred to California. Each of cases 8, 22-42, 44-49, 51 and 52 were filed in California for reasons of venue. None of the clients in any of the 56 cases were organized in California or domiciled in California.

¹ Doc. No. 42 at 4-8.

1 5. Case 6 from Exhibit B was transferred to California from New York. A Case Management
2 Conference document was jointly filed by Plaintiff and Defendant and then the court dismissed the
3 complaint based on a motion to dismiss filed while the case was in New York.

4 6. Case 7 from Exhibit B was transferred from Texas to California. My firm never appeared in the
5 case and did not even respond to a motion to stay filed by Google.
6

7 7. Case 50 from exhibit B was transferred from Texas to California. However, the case was settled
8 by a third party at the time of the transfer. Defendant filed two stipulations that included my signature,
9 a stipulation to extend the time for the defendant to answer and a stipulation to dismiss the case.

10 8. Exhibit C is a true and correct copy of a printout on April 5, 2025, indicating my eligibility to
11 practice law in the State of Texas.
12

13 9. Exhibit D is a true and correct copy of a printout on April 5, 2025, indicating Mr. Kubiak's
14 eligibility to practice law in the State of Texas.

15 10. Exhibit E is a true and correct copy of an e-mail message I received from Gene Quinn on April
16 4, 2025 wherein he is censoring me at least in part for the Magistrate's Order.
17

18 11. Exhibit F is a true and correct copy of the claim chart filed as Doc. No. 1-2 in case No. 5:24-cv-
19 03089.

20 12. Exhibit G is a true and correct copy of the claim chart filed as Doc. No. 11-2 in Case No. 3:23-
21 cv005752.
22

23 13. After counsel for Renesas indicated sales were low for the accused product, we identified other
24 possible infringing products, including PTX130W/PTX30W, and shared them with Renesas' counsel
25 indicating that we might include charts at the time for infringement contentions. However, the product
26 PTX130W/PTX30W was not in the complaint or otherwise accused in any infringement contentions or
27 lawsuit.
28

1 14. Exhibit H is a true and correct copy of the Amended Complaint, without exhibits, filed on
2 January 12, 2024, in Case No. 3:23-cv-05752.

3 15. Exhibit I is a true and correct copy of an e-mail received from counsel for Renesas on January
4 18, 2024.

5
6 I declare under penalty of perjury under the laws of the United States of America that the foregoing
7 is true and correct.
8

9
10 Executed on April 7, 2025.

A handwritten signature in blue ink, appearing to read 'WPP', is written over a horizontal line.

11
12 William P. Ramey, III
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EXHIBIT A

1 UNITED STATES DISTRICT COURT
2 NORTHERN DISTRICT OF CALIFORNIA
3 Before The Honorable Peter H. Kang, Magistrate Judge
4

5 KOJI IP, LLC,)
6 Plaintiff,)
7 vs.) No. C 24-03089-PHK
8 RENESAS ELECTRONICS AMERICA,)
9 INC.,)
10 Defendant.)

11 San Francisco, California
12 Thursday, September 19, 2024

13 TRANSCRIPT OF PROCEEDINGS OF THE OFFICIAL ELECTRONIC SOUND
14 RECORDING 10:31 - 11:50 = 1 HOUR 19 MINUTES

15 APPEARANCES:

16 For Plaintiff:

Ramey, LLP
5020 Montrose Boulevard
Suite 800
Houston, Texas 77006
BY: SUSAN S.Q. KALRA, ESQ.
WILLIAM P. RAMEY III, ESQ.
JEFFREY KUBIAK, ESQ.

20 For Defendant:

Maschoff Brennan
450 Sansome Street
Suite 1005
San Francisco, California
94111
BY: JASON A. CROTTY, ESQ.

1 Transcribed by: Echo Reporting, Inc.
2 Contracted Court Reporter/
3 Transcriber
4 echoreporting@yahoo.com
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1 Thursday, September 19, 2024

10:31 a.m.

2 P-R-O-C-E-E-D-I-N-G-S

3 --oOo--

4 THE CLERK: Now calling Civil Matter 24-3089, Koji
5 IP versus Renesas Electronics America.

6 Counsel, when speaking, please approach the podiums and
7 state appearances for the record.

8 THE COURT: Why don't we have counsel approach.
9 Since we're -- don't have a court reporter, we're recording
10 this for transcription purposes, you need to speak into the
11 microphones at the -- at the podiums, please. And, guys,
12 just remember, kind of like in a deposition, everyone speak
13 one at a time, again, just for audio transcript purposes.

14 Can I get appearances.

15 MS. KALRA: Good morning, your Honor. Susan
16 Kalra, appearing for the Plaintiff.

17 THE COURT: Yes.

18 MR. CROTTY: Jason Crotty of Maschoff Brennan,
19 appearing for Defendant Renesas Electronics of America.

20 THE COURT: Mr. Crotty, since this is an order to
21 show cause hearing, I -- I mean, unless things spill over,
22 I'm probably not going to allow you to have much to say
23 because it's not really your motion anymore. That's already
24 submitted.

25 MR. CROTTY: Understood. Thank you.

1 THE COURT: Ms. Kalra, who's here with you today?

2 MS. KALRA: Mr. William Ramey.

3 MR. RAMEY: Good morning, your Honor.

4 THE COURT: Good morning.

5 MS. KALRA: And Mr. Jeffrey Kubiak.

6 MR. KUBIAK: Good morning, your Honor.

7 THE COURT: Good morning.

8 All right. Ms. Kalra, are you going to be speaking on
9 behalf of all three of the Ramey attorney lawyers, Ramey
10 Firm lawyers, or who's going to do most of the talking? You
11 all three could talk of course.

12 MS. KALRA: I will not. Mr. Ramey will handle
13 most of it.

14 THE COURT: Okay. Let's take care -- since -- Mr.
15 Ramey, since you actually aren't counsel of record in the
16 case but I see you're going to be making representations of
17 fact to the Court, I'm going to ask that the court reporter
18 -- sorry -- the Deputy to swear both you and Mr. Kubiak just
19 so we cover all our bases there. So, if you both could
20 please stand.

21 THE CLERK: Raise your right hand. Do you
22 solemnly swear or affirm that the testimony you're about to
23 give before this Court shall be the truth, the whole truth,
24 and nothing but the truth, so help you God or so you affirm?

25 MR. RAMEY: I do.

1 MR. KUBIAK: I do.

2 THE CLERK: Thank you. You may be seated.

3 THE COURT: And since Mr. Crotty is probably not
4 going to jumping up to speak, Mr. Kubiak, if you want to,
5 you could use the other microphone on the other podium if
6 you want to speak.

7 MR. KUBIAK: Thank you, your Honor.

8 THE COURT: Okay. All right. So, Mr. Ramey, I
9 guess you're going to be doing most of the talking?

10 MR. RAMEY: Yes, your Honor.

11 THE COURT: All right.

12 MR. RAMEY: Yes, your Honor. So, we received your
13 show cause order. Ms. Kalra reported to me after the August
14 22nd hearing that she had with you that you brought up some
15 issues about our use of pro hac vice anticipated on the
16 signature lines on pleadings.

17 Immediately upon receiving that feedback from Ms.
18 Kalra, we discontinued that practice. It was never our
19 intention, your Honor, to indicate that either myself or Mr.
20 Kubiak was a licensed California attorney. As I said in the
21 briefing to the Court, we did that main -- for -- for two
22 reasons. We did that first because one of our clients asked
23 us as cases were resolving more quickly, to discount some of
24 that expense up front, and we recognized that was a mistake
25 when to Court pointed it out. We have discontinued that.

1 And, secondly, as we put in the briefing, there was
2 some personal issues going on with Ms. Kalra, and we left
3 that on there for notice purposes.

4 I, as the managing partner of the firm, am aware of the
5 issues, but I did not read her sealed declaration because
6 that was between the Court and Ms. Kalra, not me. So, I
7 can't comment on that part of it.

8 THE COURT: Okay. All right. So, first of all,
9 just to confirm, Mr. Ramey and Mr. Kubiak, I just want to
10 make 100 percent sure you're -- neither of you are members
11 of the California Bar?

12 MR. RAMEY: I am not, your Honor, Mr. Ramey.

13 MR. KUBIAK: I am not, your Honor.

14 THE COURT: Okay. And none of you, therefore, are
15 members of the Bar of this Court?

16 MR. KUBIAK: That is correct.

17 MR. RAMEY: Correct, your Honor.

18 THE COURT: Okay. And, as you point out, I mean,
19 I think you say in the OSC response and I think in some of
20 the declarations that the way you've handled pro hac vice in
21 this court was a mistake essentially and that you've tried
22 to rectify that, right? Summarizing too generally or is
23 that basically it?

24 MR. RAMEY: No, your Honor. I think that's
25 correct. We -- we weren't trying to misrepresent anything,

1 but once the Court pointed that out, we've changed
2 practices.

3 THE COURT: Okay. So, just so, again, I'm clear,
4 you don't -- I mean, the OSC order itself refers to the
5 Civil Local Rules on pro hac vice admission, and, this,
6 again, is for unauthorized practice of law. Given the
7 response, you don't really engage with those legal -- the
8 legal standards of those rules. As I read -- read the
9 response and the declarations, the response is that you're
10 changing your internal procedures to avoid those issues
11 going forward. Is that correct?

12 MR. RAMEY: Correct, your Honor. We don't want to
13 give the impression that we're trying to indicate we're
14 licensed in California.

15 THE COURT: Okay. So, is there really -- I mean,
16 you agree there's been a failure to comply with the Local
17 Rules on pro hac vice admission?

18 MR. RAMEY: Your Honor, we -- we always thought we
19 were practicing under the -- the license of Ms. Kalra. So,
20 we never thought that we were in violation. We never
21 intended that to be the case. We modified the practice when
22 the Court pointed it out. So, if what was done in the past
23 is deemed to have been a violation, then, yes, your Honor,
24 we were in violation. But we -- we never intended that to
25 be -- we always had pro hac vice anticipated in cases that

1 did carry on longer.

2 THE COURT: Right.

3 MR. RAMEY: We did, in fact, do the pro hac vice
4 and have people admitted. So, that was our mistake for not
5 doing it right at the start, your Honor.

6 THE COURT: Right. Okay. So, and I think in the
7 order to show cause you've got a long list of cases where
8 you, Mr. Ramey, have either appeared as counsel or your
9 name's on the pleadings going back to 2017. And I think for
10 Mr. Kubiak, it goes back at least a couple -- one or two
11 years. And, of course, the list is longer for Mr. Ramey.

12 I mean, you've been aware of the Court's Local Rules
13 since 2017, right?

14 MR. RAMEY: Yeah. I was -- yes, your Honor, we
15 were aware of the Local Rules. We -- we -- we just didn't
16 understand that was a violation, what we were doing.

17 THE COURT: Okay. Well, Local Rule 11-3 says --
18 and I think we say this in the OSC -- that you're supposed
19 to file your PH -- pro hac vice applications at the time of
20 the filing of the complaint, right?

21 MR. RAMEY: Yes, your Honor.

22 THE COURT: Okay. And since we've put in the
23 order to show cause order it's at least 48 cases where you
24 never filed a pro hac vice application and certainly not at
25 the time of the filing of the complaints in those cases,

1 including the -- the cases here in front of me, right?

2 MR. RAMEY: Yes, your Honor. I think that -- yes,
3 your Honor.

4 THE COURT: Okay. And for Mr. Kubiak, I think we
5 talked about this, 16 plus the -- this case and what I'm
6 calling the second case. So, that's a total of 18 cases, is
7 that right?

8 MR. KUBIAK: Yes, sir. I think that's correct.

9 THE COURT: Okay. And, so, again, I just want to
10 be clear. You're not -- technically, those are violations
11 of the Local Rules, right?

12 MR. RAMEY: Yes, your Honor. We -- we
13 misapprehended the way we were practicing. We -- we
14 modified that practice.

15 THE COURT: All right. And, again, the Local
16 Rules require filing the application at the time of the
17 complaint and also filing -- submitting the fee for the pro
18 hac vice application at the time of the complaint, right?

19 MS. KALRA: If I may, your Honor. 11-3 also says
20 it can be filed at the time of the complaint or at the time
21 of first appearance, the pro hac vice application.

22 THE COURT: Okay. So, if Mr. Ramey and Mr. Kubiak
23 were not appearing in the case yet but they -- but they
24 anticipated that they might, then -- and it doesn't -- the
25 Rule doesn't say at the earlier. It just says that that's

1 when you have to file is at the time you're filing at the
2 complaint or at your first appearance.

3 THE COURT: So, it's your -- well, their names
4 appear on the pleadings, on the complaints. Isn't that an
5 appearance?

6 MS. KALRA: As pro hac vice anticipated?

7 THE COURT: Well, it's an appearance to the Court.
8 Once their names are on the pleadings, they've appeared,
9 haven't they?

10 MS. KALRA: They haven't been admitted yet, and --

11 THE COURT: Well, that's the whole point.

12 MS. KALRA: Right. So --

13 THE COURT: They put their names on a pleading,
14 like a complaint. They've appeared in front of the Court,
15 and the Rule says you're supposed to file your pro hac vice
16 application at that time.

17 (Pause.)

18 THE COURT: Right?

19 MR. RAMEY: Your Honor, we were misapplying the
20 rule until we -- until we appeared in person because we
21 always originally said we were always using Ms. Kalra to do
22 the appearances until we got further in the case.

23 THE COURT: Okay. I'll get to that in a second,
24 but I just want to close the loop on this. You're not
25 interpreting first appearance as first appearance like

1 standing up in court, are you?

2 MS. KALRA: Not necessarily. I mean, there's --
3 there's a number of ways that --

4 THE COURT: Right. You appear in front of the
5 Court when you file a pleading with your name on it as
6 counsel for a party.

7 MS. KALRA: That's a fair interpretation.

8 THE COURT: Okay. So, again, just so I'm clear,
9 not really a -- I just want to make sure there's not really
10 a dispute here that in the 40 plus cases for Mr. Ramey and
11 the 16 or 18 cases for Mr. Kubiak, there was a violation of
12 the Local Rule in terms of the timing of the filing of the
13 pro hac vice applications, right?

14 MR. RAMEY: Yes, your Honor.

15 THE COURT: Okay. And it was also a violation of
16 the Local Rule in all those cases, including the one here,
17 by not paying the fee at the time those applications would
18 have been due, right, or fees?

19 MR. RAMEY: Because no application was filed, no
20 fee was paid, correct, your Honor.

21 THE COURT: And, Mr. Kubiak (sic), with regard to
22 this case, did you advise either Mr. Ramey or Mr. Kubiak
23 about what the Local Rules require and the timing issues?

24 MS. KALRA: On this specific case?

25 THE COURT: Yes.

1 MS. KALRA: I advised them of your Honor's
2 position after the last hearing. However --

3 THE COURT: Yeah. I'm -- I'm talking about at the
4 time the case started here.

5 MS. KALRA: Correct. I've worked with Mr. Ramey
6 and Mr. Kubiak on many many many cases, and the rule has
7 been the same as far as I know for many many years now, and
8 I know I've had a discussion several times with everybody at
9 the firm about that, but I don't recall having that
10 discussion in this particular case.

11 THE COURT: Okay. All right. All right. I
12 should have said this up -- because I'm going to -- I think
13 we're going to use these terms just for the record. When
14 they're called something like -- there are three cases
15 involving Koji and Renesas. The first case is the Colorado
16 case, which is Docket Number 21-1674. What I might refer to
17 and the parties might refer to as the second case is the
18 case -- second case filed here in the Northern District of
19 California, Docket 23-5752. And the third case is the
20 current case here that raised all these issues, which is
21 Docket Number 24-3089. So, you all understand that's what I
22 mean. The first case is the Colorado case. Second case was
23 the second in line but the first Northern District case, and
24 then the third case is this current case.

25 MR. RAMEY: Yes, your Honor.

1 THE COURT: So, we have the same terminology in
2 mind. Okay.

3 Okay. I mean, I understand that that was your
4 practice, and I appreciate you being candid about
5 misapplying the Rule. Was there any attempt at the Ramey
6 Firm to do legal research on -- to -- to justify the
7 practice that you had had in the past about not filing pro
8 hac vice applications or was it just a procedural managerial
9 decision, as you said?

10 MR. RAMEY: Your Honor, if you go back to the --
11 towards the earlier cases, we always filed pro hac vice. It
12 was -- it was in consultation -- discussions with the client
13 that we -- we slipped into doing it the other way because
14 some of the -- he was having a lot of cases resolve real
15 quickly at the low end expense. So, that's -- we
16 acknowledge that we let that Rule slip, and we acknowledge
17 that, your Honor.

18 THE COURT: Okay. So, and you say that this was
19 -- this practice of not filing the pro hac vices was done at
20 the request of -- I'm going to mispronounce his name again
21 -- Mr. Go -- help me out.

22 MR. RAMEY: I pronounce -- mispronounce it every
23 time too, your Honor. It's Doctor Gorrichategui's.

24 THE COURT: Gorrichategui. Okay. So, Mr.
25 Gorrichategui, I think you said that it was a request he

1 made near early '22, but the list of cases and the order to
2 show cause found go back to certainly before '22 a number of
3 them. The earliest one is 2017, where on pro hac vices were
4 filed. And, like I said, there's a number of them leading
5 up to 2022.

6 I guess, first, generally, a lot of the cases -- most
7 of the cases don't involve Koji as a Plaintiff. So, does
8 Mr. Gorrichategui, is he the -- the client in charge of all
9 the cases we've listed here in the order to show cause?

10 MR. RAMEY: No, your Honor. The -- some of the
11 ones for 2017 were actually transferred from Texas into
12 California. So, we were on the pleadings already. We never
13 made an appearance in that matter because we weren't trying
14 to make an appearance in those -- in that case once it was
15 transferred. I believe we're talking about the Traxcell v.
16 Apple cases.

17 THE COURT: No. The earliest 2017 case is Global
18 Equity v. Alibaba Group.

19 MR. RAMEY: And that was another -- another --
20 another instance of a case transferred that was in
21 California. That -- that was Hicks -- John Thomas with
22 Hicks Thomas was the -- the lead on it. So, that was a --
23 that one I didn't look at in particular separate from what I
24 did in the pleading, but that was so long ago. That one was
25 a mistake of not having it on there then. That's -- that

1 one I -- just a mistake. It was the incorrect practice.

2 THE COURT: All right. So, I guess the -- the
3 question is, you know, obviously Koji is a client of yours
4 and Mr. Gor --

5 MR. RAMEY: Doctor Gorrichategui, your Honor.

6 THE COURT: -- Gorrichategui is in charge of Koji
7 because it owns or controls -- is owned or controlled by
8 DynaIP, I take it?

9 MR. RAMEY: Your Honor, Koji IP, LLC is -- is the
10 -- owns the IP, and then it's managed by DynaIP Deals, which
11 is managed by Doctor Gorrichategui.

12 THE COURT: Got it. Okay. So, are the -- I mean,
13 even the ones that -- that are post-2022 of the cases listed
14 on page five of the order to show cause, was that request
15 from Doctor Gorrichategui, did that apply to all these
16 cases? I mean, he wasn't the client for all these cases,
17 was he?

18 MR. RAMEY: He is the managing member for -- for
19 all those cases.

20 THE COURT: He is the managing member?

21 MR. RAMEY: For different LLC entities.

22 THE COURT: Right, right.

23 MR. RAMEY: Okay. Pardon me.

24 THE COURT: Through the DynaIP management of those
25 entities?

1 MR. RAMEY: Yes, your Honor.

2 THE COURT: Okay. So, for example, VDPP, that's a
3 DynaIP --

4 MR. RAMEY: Yes.

5 THE COURT: -- entity?

6 MR. RAMEY: Yes, your Honor.

7 THE COURT: All right. And then let's go back to
8 the earliest from 2022. Here we go. Valjakka v. Netflix?
9 That's a 2022 -- that's a March 2022 case.

10 MS. KALRA: I believe Mr. Ramey's admitted in that
11 case.

12 MR. RAMEY: Yes, your Honor. I'm admitted in that
13 one.

14 THE COURT: Okay. What about Traxcell Techs v.
15 Google?

16 MR. RAMEY: That was the -- Traxcell v. Google was
17 transferred from Texas to California. I never entered an
18 appearance. The case was dismissed shortly thereafter by
19 Judge Corley.

20 THE COURT: Okay. E-submissions Software?

21 MR. RAMEY: Doctor Gorrichategui, your Honor.

22 THE COURT: Okay. Escape XIP?

23 MR. RAMEY: Doctor Gorrichategui, your Honor.

24 THE COURT: So, everything from '22 -- '22 onward,
25 all these entities are Doctor Gorrichategui's?

1 MR. RAMEY: He's the manager of the -- the LLC's,
2 your Honor.

3 THE COURT: Okay. But what about pre 2022? I
4 mean, if he made the request in 2022, that doesn't explain
5 why there were no pro hac vices filed in a number of cases
6 before 2022.

7 MR. RAMEY: No, your Honor. That doesn't -- the
8 ones that were -- I know the Valjakka v. Netflix, I was
9 admitted in that one. That case I've now withdrawn as
10 counsel from -- your Honor, I'm sorry. Now I'm not thinking
11 of the Court's name. I apologize, your Honor.

12 THE COURT: That's okay.

13 MS. KALRA: Judge Tigar.

14 MR. RAMEY: Judge Tigar, yes, your Honor.

15 THE COURT: Okay. Tigar it's pronounced.
16 CyboEnergy v. Movin Electric Power Tech?

17 MR. RAMEY: Yes, your Honor. That one -- that's a
18 Doctor Gorrichategui case.

19 THE COURT: Riggs Tech Holdings v. Vagaro?

20 MR. RAMEY: Yes, your Honor.

21 THE COURT: Okay. But if you made the request in
22 2022, these are 2021 cases. So, I'm just -- again, I
23 understand that he made the request that you not file pro
24 hac vice's in 2022 according to the declarations, but if
25 these were DynaIP cases before 2022, what explains the

1 failures in those cases?

2 MR. RAMEY: There was error in the process that we
3 had at the firm, your Honor.

4 THE COURT: Okay. PacSec3 v. Juniper Networks?

5 MR. RAMEY: That was, again, a case that was
6 transferred from the Western District when it was settled.
7 It was settled as it was being transferred. So, we didn't
8 -- we were intending to make an appearance in that case.

9 THE COURT: Okay. Apple v. Traxcell Techs?

10 MR. RAMEY: Same -- same situation, your Honor.
11 It was transferred from Texas. We were intending to enter
12 an appearance as we were in settlement.

13 THE COURT: I may be mispronouncing it, DATRAC or
14 DATRAC v. PrognoCIS?

15 MR. RAMEY: Prognosis? Your Honor, that was
16 another case that we were in settlement talks at the time.

17 THE COURT: NetSoc v. LinkedIn?

18 MR. RAMEY: That was another -- pardon me, your
19 Honor. NetSoc v. LinkedIn, that was error on the part of
20 the firm. That case was filed.

21 THE COURT: Okay. NetSoc v. Quora?

22 MR. RAMEY: Same thing, your Honor. The -- the
23 NetSocs cases. They are not Doctor Gorrichategui cases.

24 THE COURT: So, those were -- those were errors.
25 Global Equity Management v. Alibaba?

1 MR. RAMEY: That case was -- was filed in -- in
2 the Eastern District of Texas, and it was caught up and
3 stayed when it was transferred out to California. So, we
4 never entered an appearance. The PTAB disposed of that
5 patent, and the case was dismissed.

6 THE COURT: Okay. And there's another Global
7 Equity Management v. eBay case?

8 MR. RAMEY: That -- that's the same JIMSA
9 (phonetic).

10 THE COURT: Okay. And I think we already talked
11 about Global Equity Management v. Alibaba?

12 MR. RAMEY: Yes, your Honor. Same -- same facts
13 on that one.

14 THE COURT: And I'm 99 percent sure that the cases
15 we listed in the order to show cause for Mr. Kubiak overlap
16 with the cases for Mr. Ramey, is that right? Are there any
17 others that you're aware of where Mr. Kubiak's on the
18 pleadings but -- or appeared but Mr. Ramey didn't?

19 MR. KUBIAK: No, your Honor. As far as I know,
20 there's not.

21 THE COURT: Okay. And I know Mr. Ramey's doing
22 most of the talking, but I want -- again, anything you want
23 to add on your own behalf with regard to failures to file
24 pro hac vices in those cases?

25 MR. KUBIAK: I'm not as familiar with the cases as

1 Mr. Ramey is. He knows them by -- by name and docket. As
2 the -- the 18 cases that were listed for me, four of those
3 were -- were transferred from Western District. Nine of
4 them I was listed on the jury charge as an attorney, and it
5 was -- that was in error as well. Four of them is my
6 recollection I'm actually listed on the complaint. And,
7 so --

8 THE COURT: Yes, but was it just error?

9 MR. KUBIAK: Well, it's -- it's an error as in not
10 understanding the Rule I suppose.

11 THE COURT: Okay. All right. And we -- I don't
12 know if we gave the full list, but I think the order to show
13 cause indicates that Mr. Ramey has appeared and/or currently
14 working on at least 37 cases in the Central District of
15 California. Is that -- any dispute about that number? Is
16 it?

17 MR. RAMEY: No, your Honor. That's -- I think
18 most of those are now closed, but there may be a couple of
19 them that we're handling. But when we're filing new
20 documents for each other, we removed that from the
21 pleadings.

22 THE COURT: Okay. And then, Mr. Kubiak, we
23 counted about 10 cases in the Central District. Is that
24 right? I'm assuming that you've got the numbers, right?

25 MR. KUBIAK: I would have to defer to Mr. Ramey on

1 that.

2 THE COURT: Okay. And, again, I think we -- what
3 we saw was, similarly, there was a failure to file pro hac
4 vice applications in the Central District in most -- most of
5 those cases. Again, tell me if I'm wrong in our review of
6 the records there.

7 MR. RAMEY: So, the -- a few of the -- one of the
8 cases was transferred out from Texas. And, so, it was
9 closed shortly thereafter. But, your Honor, generally, that
10 is closed.

11 THE COURT: Okay. What I saw from at least one
12 document is actually the clerk's office in that court sent
13 you -- sends notices out when pro hac vices are overdue.
14 So, I think you received at least a number of those, right?

15 MR. RAMEY: Yes, your Honor.

16 THE COURT: Okay. You know, I -- I've got a
17 factual question. I know Ms. Kalra works out of your
18 Redwood City office, is that right? She said that at the
19 last hearing.

20 MR. RAMEY: Yes, your Honor.

21 THE COURT: All right. But I saw, and I think
22 it's required under the Local Rules in the Central District,
23 your website says you've got a Los Angeles office. Is that
24 -- is that wrong? Is that right?

25 MR. RAMEY: We have a Los Angeles address, yes,

1 your Honor.

2 THE COURT: Okay. All right. Who works there?

3 MR. RAMEY: Ms. Kalra.

4 THE COURT: Okay. How much? What percentage of
5 your time, Ms. Kalra, do you spend down there?

6 MS. KALRA: As I mentioned during the last
7 appearance and as still continues now, since mid 2023 --

8 THE COURT: Yeah.

9 MS. KALRA: -- my living situation has changed
10 drastically.

11 THE COURT: So, let's -- let's talk about the --

12 MS. KALRA: Okay.

13 THE COURT: -- historical period before your
14 personal situation distracted you from being able to work.

15 MS. KALRA: So, I pretty much split my time
16 between Northern California and Southern California.

17 THE COURT: Okay.

18 MS. KALRA: Until fairly recently.

19 THE COURT: Okay. So, about 50/50 here and --

20 MS. KALRA: Yes.

21 THE COURT: -- and in the Central District? Okay.
22 Okay. And then in the -- I think you -- and maybe this is
23 reference to -- I think it's in reference to Ms. Kalra's
24 personal situation, which I won't go into in open court. In
25 the OSC response, you talk about you added your names to the

1 pleadings in this case and I think in other cases to provide
2 notice? I think you mentioned the word -- You talked about
3 providing notice, and I guess I just was -- notice for what
4 purpose and to whom?

5 MR. RAMEY: Yes, your Honor. Maybe that was an
6 inarticulate way to do it. Notice so that we get notice
7 back when filings are received. We were just making sure
8 that nothing was missed.

9 THE COURT: So, that you would receive notice of
10 filings, not that people would get notice from you, right?

11 MR. RAMEY: That's correct.

12 THE COURT: I see. Okay. And are you both --
13 you, Mr. Kubiak, Mr. Ramey, are you ECF filers? Do you have
14 ECF accounts with the court? Is that how you get notice?

15 MR. KUBIAK: Yes, your Honor, I do.

16 MR. RAMEY: No -- well, I don't know if I have
17 one. My paralegal takes care of that, your Honor. I
18 apologize. I do know in the last 18 months we have switched
19 practices. So, now we use a third party service that gives
20 us notices so we can put a tag line on everything that gets
21 filed as we -- as we get the filing papers. It's through
22 Ms. Kalra's login. So, I don't think it comes in to me
23 specifically, but it comes in through Ms. Kalra's ECF.

24 THE COURT: Okay. All right. But when you said
25 in the OSC response that you -- it's for notices so that you

1 get notice of filings from the Court?

2 MR. KUBIAK: Yes, sir.

3 THE COURT: Is that -- I just want to make sure I
4 understand that.

5 MR. KUBIAK: Absolutely.

6 MR. RAMEY: Yes, your Honor.

7 THE COURT: All right. And then in the OSC
8 response, you -- you say -- you said there was no deceptive
9 intent or intent to indicate that you were licensed here in
10 California, which I take -- you said that here today. I
11 guess that raises a question in my mind because you don't
12 really discuss the legal standards for -- under the Local
13 Rules for unauthorized practice of law.

14 Is -- is your mens rea even relevant? Am I supposed to
15 take that into account here?

16 MR. RAMEY: Your Honor, I think the -- to the
17 extent we were advising any of these clients on the scope of
18 a patent claim, whether the accused product fit within the
19 scope of those claims, our USPTO license does, in fact,
20 allow us to -- to give nationally general -- general -- but
21 we can't appear in a courtroom and hold ourselves out as
22 California attorneys, but we are allowed to give advice to
23 clients as to the validity of their patent, whether their
24 patent might be infringed, whether someone else's product
25 falls within the scope of those claims.

1 THE COURT: Well, I mean, I'm -- I think I still
2 am. I was a registered patent attorney. I mean, we all
3 know work in the Patent Office doesn't involve opinions on
4 infringement, right? I mean, Patent Office work is not --
5 Patent Office doesn't decide infringement issues.

6 MR. RAMEY: That's correct, your Honor. We cited
7 a case in the OSC response from the Ninth Circuit that --
8 that provides that we're able to give those opinions, but
9 we're not able to hold ourselves out as California lawyers.

10 THE COURT: I'll take a closer look at that. But
11 that didn't really answer my question. I mean, you make a
12 point of saying you didn't have any deceptive intent here.
13 I just am trying to figure out what the legal standard is
14 here. I -- we can look it up obviously, but is -- I mean,
15 is -- is it a subjective standard? Am I supposed to look at
16 your -- your intent or not here? Is that relevant? And if
17 it is -- and it's like the follow up is if your mens rea is
18 relevant, is it a subjective standard or is it an objective
19 standard?

20 MR. RAMEY: As with most sanctions motions, it
21 would be an objective standard that's applied to like a Rule
22 11 analysis so that whether there was actually -- that the
23 elements fit the unauthorized practice of law.

24 THE COURT: Okay. Do you have any case law that
25 tells us that it's an objective standard for unauthorized

1 practice?

2 MR. RAMEY: I don't, your Honor. I can -- but I
3 can provide that to the Court afterwards. I didn't --

4 THE COURT: Okay.

5 MR. RAMEY: -- bring that.

6 THE COURT: Now, we -- I mean, since we're talking
7 about unauthorized practice of law, the actual acts of
8 practicing law, those are intentional. I mean, you don't
9 kind of accidentally practice law, right?

10 (Pause.)

11 THE COURT: I mean, there is an intent to
12 undertake the actions which constitute the practice of law,
13 right?

14 MR. KUBIAK: Yes, sir.

15 THE COURT: I mean, I don't see any way you can
16 kind of stumble into accidentally practicing law.

17 MR. RAMEY: No, your Honor. I think there's
18 always a gray area when you talk about the USPTO
19 registration, and I think the Supreme Court has wrestled
20 with that about what constitutes the -- you know, whether or
21 not they're able to give opinions as to validity and claim
22 scope, and that's what that courts have said registered
23 patent attorneys are able to do.

24 THE COURT: Claim scope and validity, sure. Maybe
25 claim construction. But infringement? I'm not sure the

1 case law supports that. And, also, you're not taking the
2 position that being members of the patent are -- allow you
3 to appear on pleadings in litigations in California?

4 MR. RAMEY: Not at all, your Honor.

5 THE COURT: No. Okay. All right. Again, for
6 purposes -- in this case particularly, I think you said you
7 were on the pleadings for notice but this is also in order
8 to help out Ms. Kalra's personal situation, right? I don't
9 want to get into detail on that. Is that -- is that right?

10 MR. RAMEY: Yes, your Honor.

11 THE COURT: Okay. So, that was basically covering
12 for her while she's going through this personal --

13 MR. RAMEY: Yes, your Honor.

14 THE COURT: -- these personal issues --

15 MR. RAMEY: Yes, your Honor.

16 THE COURT: -- where she can't work? Okay. So,
17 again, I just -- you put your names on the pleadings
18 purposefully. It wasn't like an accident, right? It was to
19 help her out, right?

20 MR. RAMEY: It was -- they were personally -- they
21 were purposely, pardon me, your Honor, purposely put on the
22 pleadings.

23 THE COURT: Okay. So, the question I have is
24 before Ms. Kalra joined your firm, I think she represented
25 that she was either in a different firm or I know she was

1 your local counsel as like someone who was outside the firm
2 but acted as local counsel for your firm here in cases here.
3 Is that right?

4 MR. RAMEY: That's correct, your Honor.

5 THE COURT: Okay. So, I mean, because Ms. Kalra's
6 -- I'm trying to be sensitive here. Because Ms. Kalra has
7 her own personal situation here, you have the option of
8 associating in a different local counsel, right, when --
9 instead of putting your names on the pleadings, right?

10 MR. RAMEY: Yes, your Honor. We -- we quite
11 literally we were helping a colleague at the time. So,
12 that's -- that's what we were doing.

13 THE COURT: Yeah.

14 MR. RAMEY: But, of course, we always had the
15 option of finding other counsel.

16 THE COURT: All right. So, in the OSC response,
17 you said that Ms. Kalra was acting as lead attorney on all
18 California matters? So, when did she join the firm?

19 MR. RAMEY: Your Honor, I'm sorry. I -- it blends
20 again because we've worked with Ms. Kalra for a long time.

21 THE COURT: Sure.

22 MR. RAMEY: She would remember I'm certain.

23 MS. KALRA: February 2023.

24 THE COURT: Okay. And, so, when you say in the
25 response that she was acting as lead attorney on all

1 California matters, that even includes matters where she was
2 co-counsel or local counsel before she officially joined the
3 firm?

4 MR. RAMEY: I see your point now. Yes, your
5 Honor. She was the lead counsel on the --

6 THE COURT: Okay.

7 MR. RAMEY: -- matters even when she was with the
8 other firm.

9 THE COURT: Okay. And, again, I think we counted
10 upwards of 56 or more cases in this court where your firm
11 and Mr. Ramey's firm is listed as counsel.

12 So, Ms. Kalra, you were lead attorney in 56 cases?

13 MS. KALRA: Over the course of years.

14 THE COURT: Well, that's -- I'm just -- I mean,
15 probably 40 plus of them were just in the last two years.

16 MS. KALRA: Yes. And, of course, in consultation
17 with Mr. Ramey for most of them and Mr. Kubiak on a few.

18 THE COURT: Okay. And then, Ms. Kalra, in your
19 declaration you say -- this is on paragraph 20 -- it's
20 always been the practice of Ramey, LLP to work under my
21 California Bar admission on cases in California. I'm not
22 aware of any case where I was not listed as the attorney of
23 record.

24 So, I mean, we've mentioned these cases I think, but
25 PacSec v. Juniper Networks, which is Docket Number 21-7812,

1 and NetSoc v. LinkedIn, which is Docket Number 20-483, in
2 both -- at least both those cases, only Mr. Ramey appears on
3 the pleadings.

4 MS. KALRA: I think I was involved in -- I
5 remember one of those cases.

6 THE COURT: But those are both cases in this
7 court. So, I guess the -- I guess I'm trying to reconcile
8 your statement that you were lead counsel on all cases for
9 Ramey in California, but we found at least two where you
10 weren't on the pleadings anywhere. You weren't on the
11 docket.

12 MS. KALRA: Oh, so, I should probably qualify that
13 statement a little. I was lead counsel for the cases in
14 which I either was an employee of Ramey, LLP or hired as
15 local counsel. And, so, if I wasn't hired as local counsel
16 or the cases prior to the time I joined the firm, then my
17 name would not be on those pleadings.

18 THE COURT: Okay. But I -- no California Barred
19 lawyer is on those pleadings? And I -- I know that they --
20 they do predate your joining the firm --

21 MS. KALRA: Yes.

22 THE COURT: -- but I don't know when -- maybe
23 that's -- when did you start working as co-counsel/local
24 counsel with the firm?

25 MS. KALRA: I don't recall a specific date, but I

1 believe it was maybe 2020.

2 THE COURT: Okay.

3 MR. RAMEY: Your Honor, just to be very clear
4 about those two --

5 MS. KALRA: Yes.

6 MR. RAMEY: -- cases that you said that I appeared
7 without local counsel, those cases were transferred into
8 California and dismissed shortly thereafter. We -- we never
9 -- that -- a court transferred those cases to California. I
10 never officially entered an appearance in that court at all.
11 So, those would be two examples of one where I would enter
12 an appearance simply because it was sent there --

13 THE COURT: Yeah.

14 MR. RAMEY: -- but we would try to -- we got rid
15 of the cases shortly thereafter.

16 THE COURT: Okay. So, let -- let's talk about the
17 other issue which you've raised and it's in your OSC
18 response which you say that, Mr. Kubiak, Mr. Ramey, you have
19 been representing clients in California "under the
20 California Bar license of Ms. Kalra"? I don't understand
21 what "under the Bar license of Ms. Kalra" means.

22 MR. RAMEY: She's the -- the attorney -- the
23 attorney that's advising the California clients, and we're
24 simply providing services to the clients under the -- under
25 the guidance of Ms. Kalra. It's her Bar license that --

1 she's the one -- the lead attorney. She's the -- the
2 contact for that client.

3 THE COURT: Okay. So, help me out here. Under
4 the -- I mean, you don't cite any law. I mean, do the
5 California Ethics Rules allow out-of-state lawyers to
6 practice and advise clients about California litigation
7 under the Bar license of -- of a California Barred attorney
8 without getting admitted pro hac?

9 MR. RAMEY: We were providing legal services to
10 Ms. Kalra at her request. She's the one that's working with
11 the client. So, that's -- that's how we did it, your Honor.
12 We weren't advising directly the clients without Ms. Kalra
13 being the one that was in charge of the overall
14 representation of the client in -- for California purposes.

15 Again, our representation was limited more towards what
16 the -- the claim scope was, the validity of the patent, and
17 what products might infringe, because of our USPTO Bar
18 registration.

19 THE COURT: Well, at the last hearing, I think Ms.
20 Kalra represented that you, Mr. Ramey, have most of the
21 client contact with Doctor Gorrichategui, that she -- that,
22 for example, the work on the claims charts, deciding whether
23 or not to file cases. That's your discussions with him?

24 MR. RAMEY: That's correct, your Honor.

25 THE COURT: Okay. Well, then how are your -- how

1 is that operating under Ms. Kalra's Bar license?

2 MR. RAMEY: She is the person that -- that assumes
3 responsibility for the representation of the client. We
4 provide services for the client under Ms. Kalra's
5 representation, and that's services as we've talked about a
6 second ago.

7 THE COURT: Okay. So, just so I'm clear, no 56,
8 58 or whatever cases? I mean, I think -- you say you've
9 been representing clients in California, but you've been
10 doing it through or under Ms. Kalra's Bar license. Is that
11 the -- the -- the argument here?

12 MR. RAMEY: Yes, your Honor.

13 THE COURT: Okay. Do you have any law that says
14 that that's allowed under the unauthorized practice of law
15 rules or case law?

16 MR. RAMEY: I don't have it before me now. I do
17 know that under -- that it was our -- it was our -- from
18 reading the California Rules previously, it was my
19 understanding that we could provide services as long as we
20 weren't holding ourselves out to be an attorney, as long as
21 we were practicing under the direction and guidance of Ms.
22 Kalra. That was our understanding.

23 THE COURT: Okay. So, where does -- I guess I --
24 where does the phrase "under the Bar license" of another
25 lawyer come from? Is that something from cases? It sounded

1 like it came from some legal source. Is that just a --
2 where did it come from I guess is the question?

3 MR. RAMEY: I think I may have grabbed that -- my
4 thought may have come back from the Texas Rules of
5 Professional Conduct because they -- they -- recently the
6 Texas Supreme Court updated the Rules in Texas for Texas
7 lawyers, and they -- they didn't say under the Bar license
8 but under the guidance of a responsible attorney. That may
9 have been where that came from.

10 THE COURT: Okay. Okay. Sorry. I'm circling
11 back a little bit. So, in the OSC response, you said that
12 Mr. Ramey -- the decision was made by Mr. Ramey at the rest
13 of -- request of Doctor Gorrichategui in early '22 to
14 attempt to reduce costs by not automatically filing pro hac
15 vice applications.

16 I just want to be clear. Mr. Ramey, was that your
17 decision alone, to not file the pro hac vice applications in
18 response to that request?

19 MR. RAMEY: It had been our practice already to
20 which we were approved in cases, but we -- we had been
21 filing in some of them, and then he had asked us to stop.
22 So, I'm the one that made that decision, yes.

23 THE COURT: Okay. So, I just want to make sure.
24 Mr. Kubiak, were you involved in that decision?

25 MR. KUBIAK: No, sir.

1 THE COURT: Okay. Ms. Kalra, were you involved in
2 that decision?

3 MS. KALRA: No, your Honor.

4 MR. RAMEY: She was not.

5 THE COURT: Did any of the three of you have
6 discussion about that decision at any point?

7 MR. RAMEY: I don't recall a discussion with --
8 with either Ms. Kalra or Mr. Kubiak.

9 THE COURT: All right. And the request from Mr.
10 Gorrichategui was in early '22, but you did submit a
11 declaration from him. He doesn't make any mention of that.
12 I guess -- I guess the question is why -- since he made the
13 request, why wasn't it in his declaration?

14 MR. RAMEY: We -- he made the specific -- his --
15 he was looking at it strictly for Rule 11 purposes.

16 THE COURT: Yeah.

17 MR. RAMEY: So -- so, I believe that was an
18 oversight on our part, your Honor.

19 THE COURT: Okay. All right.

20 MR. RAMEY: But you have it in my declaration
21 and --

22 THE COURT: No, no. I just -- it was curious that
23 it's in your dec but the person who actually made the
24 request, you had his declaration. I was curious why he
25 didn't say, Yes, I did say that. It's kind of like triple

1 hearsay at some point, right? That's fine.

2 Okay. So --

3 MR. RAMEY: Well, I mean, we can call Mr. -- get
4 Doctor Gorrichategui to submit a subsequent declaration that
5 this is -- this is what happened.

6 THE COURT: I take -- I take your representation.

7 Okay. So, again, just so I'm clear, the decision, at
8 least as of 2022 not to file pro hac vice applications was
9 to reduce costs. That was the -- the motivating factor,
10 right?

11 MR. RAMEY: That was a factor. It was -- Doctor
12 Gorrichategui -- we -- we were already in the majority of
13 the cases not doing that, and then -- but he had asked us to
14 this. So, yes, your Honor.

15 THE COURT: Okay. And, so, in the majority of the
16 cases where you already were not doing that, was that also
17 to reduce costs?

18 MR. RAMEY: No, your Honor. As I said before,
19 that was -- that was simply the -- we were waiting until we
20 were further in the case before we did that. And that was
21 a --

22 THE COURT: The cost issue was not -- not even a
23 factor in those earlier cases?

24 MR. RAMEY: No, it wasn't, your Honor.

25 THE COURT: Okay. And did the -- did the not

1 filing of the pro hac vice applications and, therefore, not
2 paying the fees, did that reduce costs for your law firm as
3 well or would you always have gotten reimbursed by clients?

4 MR. RAMEY: We would only be reimbursed for our
5 expenses if there was a recovery from the client for the --
6 for -- not for necessarily just that case but from the --
7 the -- whatever cases that particular LLC was filing.

8 THE COURT: Okay. Don't get into too much detail,
9 but I believe that your firm primarily works on contingency
10 basis?

11 MR. RAMEY: A large portion of our work is
12 contingent based, your Honor.

13 THE COURT: Okay. So, there'd be a number of
14 cases where the pro hac vice application fees wouldn't get
15 reimbursed if the client doesn't get recovery from those
16 cases, right?

17 MR. RAMEY: Well, your Honor, as I said, there
18 might be -- we typically seldom have only one or two cases
19 per client. So, we -- you know, the expenses are -- I can't
20 count in any case except for -- that we weren't reimbursed
21 our expenses.

22 THE COURT: All right. And for the -- certainly
23 since the -- to be 100 percent clear on this, the decision
24 not to file the pro hac vice applications after early 2022,
25 that was not based on any kind of accounting error or

1 calendaring error? It was -- it was a decision based on
2 that client's request, right?

3 MR. RAMEY: Yes, sir.

4 THE COURT: Okay. All right.

5 Mr. Ramey, about how many times per year have you
6 traveled to California for work in the last couple of years?

7 MR. RAMEY: This year, your Honor, this may only
8 be my second trip to California. Last was in -- Judge
9 Holcomb in the Central District and then here, your Honor.
10 So, I think that's correct. The prior years, I -- I can't
11 recall being in California in 2023. I'm not saying I
12 wasn't, but I can't recall being in California.

13 THE COURT: Mr. Kubiak?

14 MR. KUBIAK: Yes, your Honor. This case -- of
15 course, I'm here today -- and the pro hac appearance that I
16 made in the -- the one case, and I was not in California,
17 but I -- I'm counting the Zoom call as --

18 THE COURT: Okay.

19 MR. KUBIAK: -- being here.

20 THE COURT: All right. And going back let's say
21 in the last three, four years, have you met with clients in
22 California?

23 MR. KUBIAK: No, sir.

24 MR. RAMEY: No, your Honor.

25 THE COURT: Okay. Have you met with opposing

1 counsel and opposing parties in California?

2 MR. RAMEY: No, your Honor.

3 MR. KUBIAK: No, never.

4 THE COURT: Okay. Have you negotiated settlements
5 in California?

6 MR. RAMEY: No, your Honor.

7 THE COURT: Have you negotiated patent transfer,
8 monetization or sales or purchase agreements in California?

9 MR. RAMEY: No, your Honor.

10 MR. KUBIAK: No, sir.

11 THE COURT: How many times have you taken or
12 defended depositions in California?

13 MR. RAMEY: Never, your Honor.

14 MR. KUBIAK: Never.

15 THE COURT: How many times have you appeared in
16 hearings, oral argument, conferences in a California court?
17 Let's go like four or five years max.

18 MR. RAMEY: Okay. Your Honor, I can think of the
19 JIMSA case, and I was -- that was Judge Alsup, and I tend to
20 -- I believe I was admitted in that case. I know you said I
21 wasn't. So, I'm not going to question that, your Honor, but
22 -- but I did appear for hearings in this court. So, I'm
23 fairly certain he had admitted me at some point.

24 THE COURT: It's possible.

25 MR. RAMEY: The -- the Judge Holcomb, we did a pro

1 hac vice down in the Central District when I -- when I had
2 to appear. That was our -- our practice, and then this
3 Court, and you did a pro hac for us in a previous hearing I
4 understand. And then Judge Tigar did a pro hac in that
5 case, and that -- '23. So, I wasn't -- it was Zoom
6 hearings, your Honor. So, I wasn't actually in California,
7 but I had probably, now that I think about it, probably four
8 or five hearings with Judge Tigar, but I was pro hac in
9 that.

10 THE COURT: In the last four or five years, trials
11 in California, either of you?

12 MR. RAMEY: No, your Honor.

13 MR. KUBIAK: No, your Honor.

14 THE COURT: Okay. Arbitrations or mediations?

15 MR. RAMEY: No, your Honor.

16 MR. KUBIAK: No, sir.

17 THE COURT: All right. Settlement conferences?

18 MR. RAMEY: No, your Honor.

19 MR. KUBIAK: No.

20 THE COURT: Going back to the physical offices,
21 both in Redwood City and Los Angeles, do either of you have
22 your own office within that location?

23 MR. RAMEY: No, your Honor.

24 MR. KUBIAK: No.

25 THE COURT: I think Ms. Kalra described that she's

1 got a physical office. Is it big enough to accommodate even
2 more than one attorney?

3 MR. RAMEY: I think it would be. Just it wouldn't
4 be comfortable.

5 THE COURT: Well, are they separate offices for
6 different attorneys within the actual location?

7 MR. RAMEY: It's just one office, your Honor

8 THE COURT: Okay. All right. Speaking with Ms.
9 Kalra, the OSC response on page four says that Ms. Kalra was
10 not aiding or abetting the unauthorized practice of law as
11 she was always licensed. Well, okay. I mean, it's hard --
12 you can't -- that's such a response -- she can't really aid
13 and abet itself, right. She's licensed, right. The
14 question is since neither of you two are licensed, I didn't
15 see anything in the OSC response that separates -- let me
16 put it this way. If, hypothetically, the Court finds that
17 there has been unauthorized practice of law here by either
18 of you two, is there a separate reason why Ms. Kalra should
19 not necessarily then be found to have been aiding and
20 abetting that? Again, hypothetically in the first part.

21 MR. RAMEY: I think that would be a -- a mens rea
22 where she would have to intentionally try to allow us on a
23 -- to commit the unauthorized practice of law. And,
24 clearly, she was not trying to -- to cause us to commit the
25 unauthorized practice of law in the State of California.

1 THE COURT: Mr. Kubiak?

2 MR. KUBIAK: Your Honor, I tend to agree with Mr.
3 Ramey. I think she would have had to have had some intent
4 to do it.

5 THE COURT: Okay. All right. Let's talk about
6 number two, dismissal rule. So, under Rule 41(a), we all
7 know if you voluntarily dismiss a case twice, the second one
8 acts as a judgment on the merits and bars the -- a third
9 case.

10 So, Koji voluntarily dismissed the Colorado case -- we
11 call that the first case -- by notice under Rule
12 41(a)(1)(A)(I) and also then voluntarily dismissed what
13 we've been calling the second case here by notice under Rule
14 41(a)(1)(A)(I) as well.

15 So, I think I asked Ms. Kalra this. At the time that
16 you filed the third complaint here, had you done any
17 research or analysis as to why that third complaint was not
18 barred by the two dismissal rule?

19 MR. RAMEY: Yes, your Honor. I knew from my years
20 of practice. This is an issue that had came up early on in
21 my practice when I was in a firm called Mathews Joseph
22 Shaddox and Mason that they had dismissed some cases and
23 then weren't -- that's when we came to the Rule 41 rule,
24 that the second dismissal typical bars you. But there's --
25 there -- as in most issues of law, there's -- there's

1 exceptions that -- that can allow it to be refiled. And,
2 so, I knew that exists and actually would work in Doctor
3 Gorrichategui's cases. This came back in some of the DATREC
4 cases, not the one that you're referencing that we needed to
5 look to whether we could refile that, and that's
6 -- that's where it came up again, and I saw that there were
7 all those exceptions. So, I knew that there could. And, as
8 we said in the pleadings, your Honor, the first dismissal we
9 filed based on what we thought was good venue from -- from
10 what you see in the pleadings, an image on their website
11 where they say they -- that that's the location of them, and
12 they then presented some affidavits. And, so, it's not
13 that's an authorized salesperson. And, so, we didn't think
14 we'd from that evidence. We asked -- originally had asked
15 simply that we just transfer the case to California. And
16 the Defendants wanted a dismissal. That's fine. We
17 dismissed it. But that -- in my mind, that would be one
18 explanation of why that third -- why the -- that second 41
19 dismissal of the first California case wouldn't qualify as a
20 second dismissal on the merits. That was the reason we --
21 we filed the third case.

22 THE COURT: So, you don't cite any case law that
23 says a dismissal that's motivated by venue issues doesn't
24 count. I mean, I say -- I think you say you -- you argue
25 that, right, that it shouldn't, but I didn't see any law

1 that says that that's one of the exceptions that you're
2 referring to.

3 MR. RAMEY: Precisely, your Honor. So, I knew the
4 law, and then later -- and when we -- when this Court
5 brought it up, we researched it again. We verified that is
6 the law in California. And, but, as I said in the
7 pleadings, after we started talking -- talking to the
8 Defendants' counsel, we went back and looked at that and
9 researched it, couldn't find anything directly on point, the
10 venue. And, so, because of the -- not wanting to burden the
11 Defendant, we dismissed before they had to answer. That was
12 100 percent exactly why we did that.

13 The -- you know, we -- we knew that generally you can.
14 You can make the argument to a court, and the court can
15 accept that argument or not is -- is what it sort of is
16 right now. If you make -- if you show extraordinary -- I'm
17 not reading it right now, but it's basically if you show
18 circumstances that -- that merit the filing of the third
19 complaint, that there was something odd that happened in the
20 history, and I think the venue is something odd that
21 happens. However, because, as I say in there, we -- we
22 looked hard for a case that was directly on point after
23 talking to -- to Defendants' counsel, and while we didn't
24 find anything, the client said just dismiss it.

25 THE COURT: Yeah. So -- okay. So, I'm not really

1 that concerned about what you did post-complaint. I'm
2 really more concerned about what you did in terms of
3 researching or looking into the issue when you file -- at
4 the time of or before you filed the third complaint. I
5 mean, is there -- you didn't submit it, I assume. There's
6 -- there's no memo that was filed. There's no research
7 memo. There's no billing records that show that you -- the
8 amount of work you did kind of thinking about and working on
9 the issue. Is that --

10 MR. RAMEY: No, your Honor, but I -- but I knew
11 the law. I mean, the -- so, I mean, that's what you -- you
12 gain experience in the law from practicing. So, I knew it
13 was something we could do, but -- but, as I said, when we
14 couldn't find that case exactly on point after Defendants'
15 counsel brought the issue up and our client said, Well, we
16 don't need to fight about fees. Let's just dismiss it. And
17 that was the -- that was the basis for it.

18 THE COURT: Okay. I mean, did you -- did you
19 consider the issue about the two dismissal rule before you
20 filed the third complaint?

21 MR. RAMEY: Yes, your Honor. We knew that it had
22 been dismissed before.

23 THE COURT: Yeah.

24 MR. RAMEY: We knew it had been dismissed. We --
25 I mean, we did, in fact, ask that -- you know, that we just

1 transfer, but that -- that was -- and, fine, we'll dismiss
2 it. We -- the venue rules in patent cases, as this Court's
3 aware, have become difficult. And, so, when they put
4 forward a declaration, even though we had a website image,
5 that declaration's going to carry the day. And then we
6 would be burdening the Court -- or burdening the Defendant
7 and the Courts with extra work. So, we -- we thought what
8 we were doing was the least burdensome manner to get rid of
9 the case in Colorado.

10 And, in truth, as we look at it now, your Honor, our
11 true issue, the mistake we made is dismissing that first
12 Colorado -- the first -- pardon me -- the first California
13 case. We should have -- we could have held onto that case
14 because we were -- the client had already identified that
15 product. They didn't have it charted. But, again, because
16 of the sales being \$4,000 of that first product, we tried to
17 reduce the cost for everyone.

18 THE COURT: Okay. So -- okay. So, before filing
19 the third complaint, you -- with regard to the two dismissal
20 rule, it was based on I think you said your experience of
21 just your general knowledge of the law, is that -- is that
22 right?

23 MR. RAMEY: One hundred percent, your Honor.

24 THE COURT: Okay. And I think Ms. Kalra's
25 declaration says something to the same effect. Did you two

1 talk about the issue before you filed the third complaint?

2 MR. RAMEY: She -- I made her aware we were filing
3 the third complaint, yes.

4 THE COURT: No, but I'm talking about the -- the
5 two dismissal issue specifically. Did you talk about it?

6 MR. RAMEY: I don't recall a specific conversation
7 on that. I'm not going to say it didn't happen. We have
8 lots of conversations about the cases that are going on and
9 the direction of the firm.

10 THE COURT: Okay. Ms. Kalra, do you have any
11 memory?

12 MS. KALRA: I don't have a specific memory of that
13 particular conversation, and I agree with Mr. Ramey we
14 talked about a lot of things, and we talked frequently.

15 THE COURT: Okay. So, other than your opinions
16 and kind of based on your experience in the law, there's no
17 other -- you didn't -- like I said, you didn't cite any case
18 law? There's not a legal basis for avoiding the two
19 dismissal rule other than your own beliefs at the time, is
20 that right?

21 MR. RAMEY: No, your Honor. In fact, we did cite
22 to the Court a case that's specifically out of California.
23 I'm sorry. Now I'm forgetting where it is.

24 THE COURT: The Mill Creek Athletics case that you
25 cite? Is that what you're talking about?

1 MR. RAMEY: Yes, your Honor.

2 THE COURT: Yeah. So, let's talk about that. So,
3 Mill Creek Athletics was a case discussing whether costs and
4 fees should be awarded under Rule 41(d). It doesn't ever
5 discuss whether a complaint is barred under Rule 41(a). So,
6 I don't -- I don't understand how Mill Creek Athletics
7 provides a legal standard for filing a third complaint here.
8 And that case only involved a second complaint.

9 MR. RAMEY: Your Honor, I -- I know I cited one in
10 here because that was the -- I know I talked about this.
11 you know what, your Honor? It's in the -- it's in the
12 briefing on the response to the motion for fees. It's on --
13 in the show cause response. I noticed that when I was
14 preparing -- when I was reviewing this morning, that that --
15 there was a chunk of argument that could have been put in
16 this response as well. But the case law is there.

17 This Mill Creek Athletic, I'm not recalling this case.
18 I thought that this case -- well, this one's -- this case
19 simply showed that, you know, that attorney's fees aren't
20 recoverable and would be okay. So, I am recalling that
21 case now. But the -- the other one I know the briefing was
22 in the response to the motion for fees. I don't think I
23 have that pulled up on my computer either because I -- I
24 didn't come with that. So, I'm -- there is a -- there is a
25 case in that briefing, your Honor, that -- that's -- that's

1 the reason we did -- allowed it to happen.

2 THE COURT: There's a case you cited in the
3 opposition to motion for fees that says you're allowed to
4 file a third complaint?

5 MR. RAMEY: There's reason -- reasonable -- I
6 mean, I think it may be in here somewhere. Pardon me, your
7 Honor. I'm sorry.

8 THE COURT: That's okay. I'm -- that's why I'm
9 asking the question because I didn't see anything.

10 MR. RAMEY: I apologize, your Honor.

11 THE COURT: So, while you're pulling that up,
12 since you are referring to the motion for fees, I'll let Mr.
13 Kubiak jump in if he knows what case we're talking about.

14 MR. KUBIAK: I'm not aware of any case cited on
15 that particular point, your Honor. And, just to correct the
16 record, I don't believe -- my recollection is they never
17 offered to transfer the first case here. They just
18 dismissed. And I think there was representation that our
19 folks made, and I don't remember that happening.

20 MR. RAMEY: In a phone conversation I had with Mr.
21 Cronacks (phonetic) about this, we had specifically said we
22 could dismiss this -- or agreed to a transfer, and he said
23 he'd rather we dismiss it. We dismissed. I think it was
24 within a day we dismissed. So --

25 THE COURT: All right.

1 MR. RAMEY: I don't see it in my declaration, your
2 Honor.

3 THE COURT: Your declaration only cites Mill Creek
4 Athletics.

5 MR. RAMEY: But I know -- well, in the interest of
6 time -- we can certainly look at your opposition to the
7 motion. You think it's somewhere in the opposition to the
8 motion for fees?

9 MR. RAMEY: I thought it was, your Honor, but I
10 know I read the case in preparation for this hearing. I
11 went back over that.

12 THE COURT: Okay. Let's -- so, in the current
13 record, there's no case law that you're able to cite to me
14 that says what the standard is and why you're allowed to
15 file a third complaint after voluntarily dismissing the
16 first two, right?

17 MR. RAMEY: Your Honor, no. It's -- it's in the
18 -- the pleadings to the Court. I just -- I'm sorry. I
19 can't --

20 THE COURT: Okay. We'll look in your opposition
21 brief on the motion for fees and go from there.

22 And then in the opposition -- not the opposition. In
23 the OSC response, at page 14, you said the dismissal in
24 Colorado was more akin to convenience. Again, I -- you
25 don't cite any law. Is there any law that says that a

1 dismissal for convenience doesn't count for Rule 41
2 purposes?

3 MR. RAMEY: No, your Honor. There -- what it
4 says, there's law that -- that I cite in the pleading. In
5 the pleadings it says that absent special circumstances, you
6 know, you can't file a third complaint. The second one
7 operates as a dismissal with prejudice. That's what the law
8 is, and it's -- I cited a Ninth Circuit case for that. But
9 I'm not seeing it right now, your Honor.

10 The -- and I'm sorry if I've forgotten your exact
11 question. I apologize.

12 THE COURT: There's no -- you used the phrase
13 dismissal for convenience. I just wanted to make sure.
14 There's no law that says a dismissal for -- I don't even
15 know what a dismissal for convenience is. It's not a --
16 it's not in the Rules, but a dismissal for convenience is
17 not exempt from the two dismissal rule under Rule 41, is it?

18 MR. RAMEY: I think it could be because it would
19 be one of those special circumstances that we were talking
20 about, your Honor, that -- that's allowed.

21 THE COURT: Okay. So, if you've got case law in
22 the opposition to the motion for fees that addresses that,
23 we'll look for it.

24 And, also, you know, I think you say in the OSC
25 response and I think Mr. -- sorry -- Gorrichategui --

1 MR. RAMEY: Yes, your Honor.

2 THE COURT: -- says in his declaration that you --
3 "Mr. Ramey informed me that we would likely lose the venue
4 motion," which is why he authorized the dismissal of the
5 Colorado case. So, I mean, if there was a recognition
6 internally on your side that you'd likely lose the venue
7 motion, it's not really -- it's not really a dismissal for
8 convenience, right? I mean, it's a tactical decision that
9 you're going to lose the venue issue.

10 MR. RAMEY: No, your Honor. I'd strongly disagree
11 with that. We were trying to save the parties resources
12 because starting a venue fight means we're going to have to
13 conduct discovery. That was the -- you know, discovery of
14 -- discovery of -- of Koji's people then, so, to avoid that.
15 That was the basis for it. So, it is -- and the convenience
16 would mean so we don't have to go into the venue discovery.
17 They said, Hey, California's the right place for this suit
18 to be. So, that's what -- that's what I meant by that is
19 that it is for saving the parties resources, which is what
20 -- what I thought the -- the law tried to do is encourage
21 counsel to discuss matters with one another in hopes of
22 justice and speedy resolution. So, we were simply trying to
23 reduce the -- the burdens for everyone.

24 THE COURT: Okay. So, you're not relying -- just
25 so I'm clear, because you do cite it in the OSC response in

1 your declaration. You're not really relying on
2 Mill Creek Athletics as authority for the filing of the
3 third complaint, right? Because that's a fees and cost
4 case. It's not a barred complaint case.

5 MR. RAMEY: Right. But I'd have to go back and
6 see. It might also cite the -- the standard for that. I
7 don't recall off the top of my head, but I know that the --
8 I just read the -- the case, you know, in the last couple of
9 days, the case law about that.

10 THE COURT: Okay. You also in the OSC response
11 make note that a third complaint charted a different product
12 than that was charted in the second case and even in the
13 first case, the same. Is there any law that you cited that
14 says -- or that you know of that says that the third
15 complaint isn't barred because it's charting different
16 products, even though it's the same party, same patent?

17 MR. RAMEY: No, your Honor. I'd say the law is
18 there's reasonable explanation that can be made that does
19 allow the third case. I wouldn't say that it's because it
20 was a new product. That was just one of the other
21 additional factors that could go to allowing you to file --
22 file that third complaint. That's all.

23 THE COURT: Okay. And, again, is that -- we'll
24 have to look at whether the case law in your opposition to
25 the motion for fees goes to that issue.

1 Okay. Ms. Kalra, in your declaration -- it's not in
2 the OSC response. At paragraph 27 of your declaration, you
3 said that there are exceptions that allow the refiling of a
4 complaint where previous dismissal was made pursuant to
5 stipulation, but you don't cite any case law on that.
6 What's -- what's your support for that assertion?

7 MS. KALRA: It's actually in Rule 41, and I think
8 it is (a) -- (a) (1) (A) (ii).

9 THE COURT: Okay. So, but --

10 MS. KALRA: But I -- I do see that -- after I
11 looked at the law, subsequently, I did see that it has to be
12 signed by both parties.

13 THE COURT: That's what a stipulation is, right?

14 MS. KALRA: Correct. Well, a stipulation can also
15 be an agreement that's not necessarily executed by people.
16 I mean, I've seen stipulations by email where everybody
17 says, Do you stipulate? Yes. So --

18 THE COURT: But that's deemed a signature because
19 it -- it's a confirmation.

20 MS. KALRA: Correct.

21 THE COURT: Right. So, but you talk about
22 exceptions for dismissals by stipulation, but how is that
23 relevant to the issues here? There was no -- none of the
24 prior dismissals were by stipulation.

25 MS. KALRA: So, it was my understanding that the

1 prior -- that the dismissal in Colorado -- I wasn't involved
2 in the Colorado case itself, but it was my understanding
3 that the dismissal in the Colorado case was by agreement
4 between the parties, and I probably, you know, should have
5 looked a little harder at that, but I took that at face
6 value.

7 THE COURT: Okay. I mean, the document says what
8 it says, but I'll say it. It -- it is a notice of
9 dismissal. It's only signed by Mr. Ramey. It's not signed
10 by -- by opposing counsel. So, I -- does the -- the
11 stipulation issue, I mean, is it even germane here?

12 MS. KALRA: Only as far as that was my
13 understanding initially was that everyone had agreed to the
14 dismissal.

15 THE COURT: Okay. But the actual dismissal is not
16 a stipulation. It's a notice of dismissal. I mean, pull it
17 up. It's a notice of dismissal.

18 MS. KALRA: Correct. And I -- and I know that
19 sort of in -- having looked at it but not on the spur of the
20 moment.

21 THE COURT: Okay.

22 MS. KALRA: So, I looked at it a little bit later
23 than the initial filing.

24 THE COURT: Okay. Okay. Very briefly, and this
25 is because in the interest of time, on the prefiling

1 analysis with regard to infringement, Mr. Ramey, the OSC
2 response is -- and I take it that you -- you had taken the
3 position that the claims had their plain and ordinary
4 meaning before you filed the third complaint, right?

5 MR. RAMEY: Under the Federal Circuit law, the
6 heavy bias towards that, yes, your Honor.

7 THE COURT: Okay. And, again, you didn't submit
8 anything. Is -- is there any written work product that is
9 -- that precedes the filing of the third complaint that --
10 that shows that -- the analysis to make sure that it is
11 plain and ordinary meaning?

12 MR. RAMEY: Yes, your Honor. Our comparison of
13 the claims -- the claim elements are in the claim chart from
14 the patent, and then -- from our reading of the patent and
15 from our reading as applied to the accused device.

16 THE COURT: But there's no -- I mean, the claims
17 chart is an infringement chart. There's no claim
18 construction column, right?

19 MR. RAMEY: But I don't -- I don't understand what
20 the Court means, and we do have a column with the claim
21 elements.

22 THE COURT: Yeah.

23 MR. RAMEY: And then the -- the accused elements
24 from the Defendant's accused instrumentality with a
25 description of why we think that element is met in -- in

1 each instance.

2 But I think Mr. Kubiak wants to add something, your
3 Honor.

4 MR. KUBIAK: Yes, your Honor. With regard to the
5 claim charts and infringement analysis, many times that
6 would be me, but -- and I would have to go through the
7 specification because sometimes the -- the claim charts are
8 confusing at best. And, so, I have to go back and figure
9 out what the -- as far as is there something in the file,
10 written analysis. Usually I'll do it on a yellow pad, and
11 when I'm done, I'm done, and I -- I don't keep those. Once
12 I'm satisfied with whatever claim construction it is, that's
13 -- that's pretty much the end of it. And, so, then I --
14 then I would go through and compare it to whatever the
15 device is.

16 THE COURT: Okay. So, actually -- actually, it
17 was a little bit unclear to me because there's reference to
18 Mr. Sanatori (phonetic). In the claims chart for the third
19 complaint, did you work on that or Mr. Ramey or --

20 MR. KUBIAK: For the third complaint, that would
21 have been Mr. Ramey.

22 THE COURT: Okay. You worked on the one for the
23 first and second complaints?

24 MR. KUBIAK: That's correct.

25 THE COURT: Okay.

1 MR. RAMEY: And I worked on -- I didn't mean to
2 cut you off.

3 THE COURT: No. Just that -- so, I was going
4 where I think you were going to go, just was there
5 collaboration between the two of you on any of the charts
6 that the other one was working on?

7 MR. RAMEY: Yes. We 100 percent certainly look at
8 each other's work.

9 MR. KUBIAK: Many times, yes. It might not be as
10 detailed as -- as providing him here's -- here's my basis
11 for it, as opposed to just sitting down. Typically we take
12 all day Tuesday to go over whatever we're going over and
13 just say, Here's what I found. This is what I think.

14 MR. RAMEY: So, certainly. And that actually is a
15 good point Mr. Kubiak brings up. We do in the firm exactly
16 for this purpose have Tuesdays set aside for -- for meetings
17 where we go through every case that's in the firm and then
18 look at every issue, look at the case, because we don't want
19 to miss any of these issues or have something inappropriate
20 occur.

21 THE COURT: And I think in the OSC response you
22 say on page 16 that there are two situations where a claim
23 term can deviate or not be its plain ordinary meaning.
24 That's where the patent case and lexicographer or the term
25 so deprives the claim of clarity that there's no means by

1 which the scope may be ascertained from the language used,
2 which I think you were referring to. So, that's the way you
3 -- you went through those two and then decided that neither
4 of them applied, so you could use plain and ordinary
5 meaning. Is that for the --

6 MR. KUBIAK: Yes, sir.

7 THE COURT: -- charts? Is that --

8 MR. RAMEY: Yes, your Honor.

9 THE COURT: Okay. And I think you say it, I just
10 want to be 100 percent clear. Ms. Kalra didn't work on any
11 of the claims terms for any of the complaints, right?

12 MR. RAMEY: She would --

13 MR. KUBIAK: She did not work on the claim chart.
14 She would accept whatever we told her as -- as accurate.

15 THE COURT: Okay. All right.

16 Ms. Kalra, I think your declaration says that you
17 relied on -- for the claims charts, you relied on Mr. Ramey
18 for the third complaint and I assume Mr. Kubiak for the
19 second complaint. Is that right?

20 MS. KALRA: That's correct, but I reviewed them
21 before they got filed to just double check that we had
22 everything in the claim charts that we need to have in in
23 the exhibit.

24 THE COURT: Okay. How much time did you spend
25 reviewing the claims charts?

1 MS. KALRA: I looked at the claim charts. I
2 looked at the patent, about an hour and a half.

3 THE COURT: And for the third complaint, Mr.
4 Ramey, about how much time did you spend on that claims
5 chart?

6 MR. RAMEY: Your Honor, I spoke with Doctor
7 Gorrichategui and with Mr. Sanatori. So, Mr. Sanatori works
8 for Doctor Gorrichategui. We discussed that claim chart for
9 approximately an hour and a half when they first presented
10 it to me. The question I asked back to them is was this
11 product included with the previous claim chart, and -- and
12 then they said no, and they didn't think it was part of the
13 -- the sales stream we had because it wasn't included
14 before, and we checked our emails, saw that it likely wasn't
15 included in the prior numbers that came from Renesas. And
16 then I went back to the claim chart again, looked at it,
17 made sure all the elements were -- were addressed by their
18 plain and ordinary meaning. Probably at that point it was
19 another 45 minutes. So, it's total about two hours and
20 fifteen minutes.

21 THE COURT: Okay. And, Mr. Kubiak, about how much
22 time did you spend conferring on the third complaint's claim
23 chart?

24 MR. KUBIAK: On the third complaint? Very little.
25 I mean, I knew what was going on, but as far as really

1 talking about it, that was pretty much between Mr. Ramey and
2 Ms. Kalra.

3 THE COURT: Okay. And how much time did you spend
4 on the claims chart that was attached both in the first case
5 and --

6 MR. KUBIAK: One and two?

7 THE COURT: Yeah, first and second complaints.

8 MR. KUBIAK: For the -- for the very first claim
9 chart, I probably spent six, seven hours on it. Mr.
10 Sanatori is very bright, but I don't always agree with him.

11 THE COURT: And I think I asked Ms. Kalra this in
12 the last hearing. I wasn't sure she knew the exact answer.
13 Mr. Sanatori is not a patent agent? He's not a member of
14 the Patent Bar?

15 MR. KUBIAK: As far as I know he is not.

16 THE COURT: He's not a lawyer?

17 MR. KUBIAK: No.

18 THE COURT: Okay.

19 MR. RAMEY: No, no, no. He -- I think he -- in
20 his declaration, he specifies his technical experience. He
21 has 20 years doing this type of work, but -- but you're
22 right. That's why Mr. Kubiak and I and Ms. Kalra, you know,
23 we review what he does, and we don't accept it at face
24 value.

25 THE COURT: Okay. All right. Let me just make

1 sure I don't have any other questions. Okay.

2 So, last chance. Any other matters, anyone, Ms. Kalra
3 as well, want to bring to my attention or raise with the
4 Court that you haven't had a chance to?

5 MR. RAMEY: No, your Honor. I'd simply like to
6 say on behalf of me and Mr. Kubiak and my firm and Ms. Kalra
7 that I -- we never intended to get Ms. Kalra in any issues
8 with the California State Bar, and we never, as our law
9 firm, intended to practice law in California. And, so, we
10 -- we -- we do -- we do tell the Court that we've changed
11 our practices. We -- we made a mistake. We accept that
12 fact. We've -- we've done what we can to fix that and
13 rectify it going forward. It won't -- won't happen again,
14 unless we can get a license or in cases we get admitted pro
15 hac vice.

16 THE COURT: You're always free to take the
17 California Bar exam. Nothing's stopping you from doing
18 that.

19 MR. RAMEY: I've thought about it many times, your
20 Honor.

21 MR. KUBIAK: Too old, sir.

22 THE COURT: I didn't really probe this, but --
23 because it's not -- it's more what you're going to be doing
24 going forward. Is it your going forward practice going to
25 be that -- I understand nobody's going to be on the

1 pleadings unless they're either already going to be admitted
2 pro hac or -- or they're already a member of the California
3 Bar, right? But are you also going to work on the case from
4 Texas and just funnel everything to Ms. Kalra without being
5 on the pleadings? Is that the -- is that -- she can't work
6 on the cases alone, right?

7 MR. RAMEY: She will handle the legal
8 representation of the case, and we would be involved in
9 assisting her with the technical aspects of the case. The
10 client is based in Texas.

11 THE COURT: Yeah.

12 MR. RAMEY: And we'd be advising the client there
13 for -- for that purpose on infringement, but all the legal
14 analysis, anything that would have to be in California would
15 go through Ms. Kalra.

16 THE COURT: That includes claims charts for
17 complaints in the future or for infringement contentions,
18 right?

19 MR. RAMEY: She --

20 THE COURT: I mean, she can't draft those from
21 scratch herself, right?

22 MR. RAMEY: Well, correct, but she -- right now,
23 so, it's her current practice that she looks at everything.
24 She has the -- the say before -- if she doesn't like a claim
25 chart, it won't get filed.

1 THE COURT: Okay.

2 MR. KUBIAK: I see our position as being like her
3 law clerk essentially.

4 THE COURT: Okay. I think I've asked this, but do
5 you know of any California ethics opinions that allow you to
6 do that, that is, prepare documents for her that she will
7 review but then file in California courts but that's not --
8 that doesn't count as the unauthorized practice of law here?
9 Because it's -- it goes beyond simply advising the client,
10 right? You're preparing documents that you know are
11 intended to be filed in a California court.

12 MR. RAMEY: We're providing the -- the services of
13 Mr. Sanatori, they were helping prepare something that she
14 has the final say on approving.

15 THE COURT: Yeah.

16 MR. RAMEY: We -- we use our experience as
17 registered patent agents to do that and our experience with
18 the client.

19 THE COURT: But just more specifically, because
20 they -- did you cite -- are you aware of, because you didn't
21 cite any -- are you aware of any law, any California ethics
22 opinions, anything that allow you to do that work knowing
23 that it's going to be intended to be filed in a California
24 court and where the State Bar has said, yes, that's not the
25 unauthorized practice of law?

1 MR. RAMEY: I'm not -- I'm not aware of an
2 opinion, your Honor, that says that -- I'm not aware of any
3 specific opinion on that issue.

4 THE COURT: Okay. All right. Mr. Crotty, I'll
5 give you one chance to speak, only if it deals with the
6 motion for fees, though, because that -- there has been some
7 discussion.

8 MR. CROTTY: Just as a factual matter, most of the
9 communications on this case are about settlement.

10 THE COURT: Yeah.

11 MR. CROTTY: And I don't know where that falls in
12 terms of like -- I know they're going to change the
13 pleadings but the substance of who's doing what, most of my
14 interactions were with Mr. Ramey, mostly over email, joint
15 documents, settlement offers, that sort of thing. So, I
16 don't know what side of the line that falls in.

17 And then as to the fees motion, the only thing I would
18 like to say is that we would consider this part of the
19 manner of litigation that's relevant under Octempics
20 (phonetic), and that's it.

21 THE COURT: Okay. Well, the fee -- from the
22 Court's point of view, the fees motion is submitted. So, I
23 don't want to supplement the record any further. I just
24 wanted to give you a fair chance to talk because there has
25 been some discussion of it.

1 Okay. Are we submitted on the OSC? Anything further?

2 MR. RAMEY: Just one second, your Honor.

3 THE COURT: Sure.

4 MS. KALRA: May I speak with Mr. Ramey for just a
5 second?

6 THE COURT: Sure.

7 MS. KALRA: Thank you.

8 (Pause.)

9 MR. RAMEY: Your Honor, we'd request leave of the
10 Court to file the additional authority on the Rule 41,
11 because I know we cited it in briefing. So, I would just
12 present the case, no argument on it, just present the case
13 to the Court.

14 THE COURT: Well, if you're sure it's in the
15 motion for fees, we'll pick it up that way.

16 MR. RAMEY: I thought it was, your Honor. But I'm
17 just asking -- I'm still asking for motion for leave just to
18 submit that supplemental authority.

19 THE COURT: You can submit it, but you have to
20 point to where it is in the motion for fees, right, because
21 that was the representation. I don't want you -- at this
22 point, I mean, you've already filed your written response.
23 I don't want you going out doing -- you should have found
24 case -- I think you would have found cases by now if you had
25 any. So, yeah, you can -- you can submit the additional

1 authority --

2 MR. RAMEY: Thank you, your Honor.

3 THE COURT: -- without argument.

4 Okay. Any -- other than that, submitted?

5 MR. RAMEY: Yes, your Honor.

6 THE COURT: Okay. Anything further from you, Ms.

7 Kalra?

8 MS. KALRA: No, your Honor.

9 THE COURT: Okay. Mr. Kubiak?

10 MR. KUBIAK: No, sir.

11 THE COURT: Mr. Crotty?

12 MR. CROTTY: No, your Honor.

13 THE COURT: Okay. We're adjourned till the next
14 matter, and an order will issue, you know, in due course.

15 Ms. Kubiak, as to your administrative motion to seal
16 your supplemental declaration --

17 MR. KUBIAK: Ms. Kalra.

18 THE COURT: Am I --

19 MR. KUBIAK: No. It's Ms. Kalra.

20 THE COURT: Sorry. I thought I said Kalra. Ms.
21 Kalra, as to your administrative motion, I'm going to deny
22 in part and grant in part. What I --

23 MS. KALRA: Okay.

24 THE COURT: There's parts of that declaration that
25 are -- that actually go to the -- the OSC response, but

1 parts go to your personal issues. So, submit a proposed
2 redacted version that redacts whatever you consider to be
3 truly personal --

4 MS. KALRA: Right.

5 THE COURT: -- information, and then the Court
6 will consider it.

7 MS. KALRA: Thank you very much, and I appreciate
8 that.

9 THE COURT: All right. I think in the proceedings
10 today that covers everything. So, anything further from
11 anyone?

12 MR. RAMEY: Nothing from Plaintiff, your Honor.

13 MR. KUBIAK: No, your Honor.

14 THE COURT: Okay. Thank you.

15 MR. RAMEY: Thank you.

16 THE COURT: Thank you for your time.

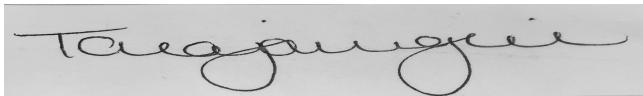
17 THE CLERK: We're off the record in this matter.

18 (Proceedings adjourned at 11:50 a.m.)
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CERTIFICATE OF TRANSCRIBER

I certify that the foregoing is a true and correct transcript, to the best of my ability, of the above pages of the official electronic sound recording provided to me by the U.S. District Court, Northern District of California, of the proceedings taken on the date and time previously stated in the above matter.

I further certify that I am neither counsel for, related to, nor employed by any of the parties to the action in which this hearing was taken; and, further, that I am not financially nor otherwise interested in the outcome of the action.



Echo Reporting, Inc., Transcriber

Monday, September 30, 2024

EXHIBIT B

#	CASE	CASE NO.	FILING DATE	Counsel for Plaintiff and Defendant	Lawyer not licensed in CA on pleadings	Pro hac vice anticipated	PHV	Date of Transfer	DATE CLOSED
1	Esignature Software, LLC v. Adobe, Inc.	3:22-cv-05962	12/13/22	Pla: Susan Kalra Def: Michael A. Berta	Yes	Yes	No	10/12/2022 From WDTX	02/22/2023
2	Haley IP, LLC v. Motive Techs., Inc.	4:23-cv-02923	6/14/23	Pla: Susan Kalra Def: Glenn T Graham	Yes	Yes	Yes	06/14/2023 From WDTX	11/30/2023
3	Escapex IP LLC v. Google LLC	3:22-cv-08711	4/08/22	Pla: Susan Kalra Def: Slayden Grubert	Yes	Yes	No	12/15/2022 From WDTX	03/07/2023
4	ALD Social LLC v. Verkada, Inc.	3:23-cv-00049	1/5/23	Pla: Susan Kalra Def: Heidi L. Keefe	Yes	Yes	No	01/05/2023 From WDTX	02/24/2023
5	Missed Call, LLC v. Twilio Inc.	3:24-cv-00681	10/24/23	Pla: Susan Kalra Def: Chris R Schmidt	Yes	Yes	No	02/06/2024 From WDTX	04/23/2024
6	NetSoc, LLC v. LinkedIn Corp	3:20-cv-00483	12/26/18	Pla: William P. Ramey	Yes	Yes	No	01/23/2020	06/26/2020

				Def: Christopher Kao				From SDNY	
7	WirelessWerx IP, LLC v. Google, LLC	4:23-cv-01852	4/17/23	Pla: William P. Ramey Def: Amy K Liang	No	No	No	04/17/2023 From WDTX	02/28/2025
8	Riggs Tech. Holdings, LLC v. Vagaro, Inc.	3:21-cv-07927	10/8/21	Pla: Susan Kalra Def: Sal Lim Kramer	Yes	Yes	No	n/a	01/07/2022
9	Valjakka v. Netflix, Inc.	4:22-cv-01490	3/9/22	Pla: Susan Kalra Def: Elise Sabrina Edlin	Yes	Yes	Yes	03/09/2022 From WDTX	
10	Traxcell Techs., LLC v. Google LLC	3:22-cv-04807	8/22/22	Pla: Susan Kalra Def: David A. Caine	Yes	Yes	Yes	08/22/2022 From WDTX	01/27/2023
11	WirelessWerx IP, LLC v. Uber Techs., Inc	3:23-cv-00990	3/3/23	Pla: Susan Kalra Def: Heidi L. Keefe	No	Yes	No	03/06/2023 From WDTX	06/02/2023

12	Silent Commc'n, LLC v. Adobe, Inc	3:23-cv- 02696	5/31/23	Pla: Susan Kalra Def: Eugene Y. Mar	Yes	Yes	No	05/31/2023 From WDTX	10/20/2023
13	ALD Social, LLC v. Apple, Inc	3:23-cv- 02695	5/31/23	Pla: Susan Kalra Def: Asim Zaidi	Yes	Yes	No	05/31/2023 From WDTX	10/03/2023
14	Safecast Ltd. v. Google, LLC	5:23-cv- 03128	6/23/23	Pla: Susan Kalra Def: Amy K Liang	Yes	Yes	No	06/26/2023 From WDTX	11/28/2023
15	Ask Sydney, LLC v. Google, LLC	3:23-cv- 03955	8/8/23	Pla: (nothing filed in CA) Def: Asim M. Bhansali	No	No	No	08/08/2023 From WDTX	10/18/2023
16	Flick Intelligence, LLC v. Google, LLC	3:23-cv- 04803	9/19/23	Pla: Susan Kalra Def: Bill Trac	Yes	Yes	No	08/08/2023 From WDTX	10/18/2023
17	Fare Techs. LLC v. Lyft, Inc	3:23-cv- 04935	9/26/23	Pla: Susan Kalra Def: Bethany Salpietra	Yes	Yes	No	09/26/2023 From WDTX	10/29/2024

18	Vilox Techs., LLC v. Salesforce, Inc	3:23-cv-05047	10/2/23	Pla: Susan Kalra Def: Jose Carlos Villarreal	Yes	Yes	No	10/02/2023 From WDTX	
19	SmartWatch MobileConcepts, LLC v. Google	3:24-cv-00937	2/16/24	Pla: Susan Kalra Def: Sorin G. Zaharia	Yes	Yes	No	02/16/2024 From WDTX	09/03/2024
20	WirelessWerx IP, LLC v. Lyft, Inc.	5:24-cv-01144	2/26/24	Pla: Susan Kalra Def: Jose Carlos Villarreal	Yes	Yes	No	02/26/2024 From WDTX	11/04/2024
21	Autonomous IP, LLC v. Lyft, Inc	3:24-cv-03348	6/4/24	Pla: Susan Kalra Def: Jeremy J. Taylor	Yes	Yes	No	06/04/2024 From WDTX	11/21/2024
22	CyboEnergy, Inc. v. Duracell Power Center, LLC	3:24-cv-08891	12/10/24	Pla: William P. Ramey	Yes	Yes	Yes	n/a	03/13/2025
23	WirelessWerx IP, LLC v. Zipline International, Inc.	3:24-cv-08462	11/26/24	Pla: William P. Ramey Def: Case Collard	Yes	Yes	Yes	n/a	03/21/2025
24	Kephart Consulting, LLC	4:24-cv-06770	9/26/24	Pla: William P. Ramey	Yes	Yes	Yes	n/a	

	v. AxxonSoft US, Inc.			Def: William H Mandir					
25	VDPP, LLC v. Roku, Inc.	5:24-cv- 05303	8/16/24	Pla: Susan Kalra	Yes	Yes	No	n/a	12/11/2024
26	mCom IP, LLC v. WestAmerica Bancorporation	3:24-cv- 03609	6/14/24	Pla: Susan Kalra	Yes	Yes	No	n/a	07/25/2024
27	Linfo IP, LLC v. Alibaba Group (U.S.) Inc.	4:24-cv- 03098	5/22/24	Pla: Susan Kalra Def: Bo Bryan Jin	Yes	Yes	No	n/a	11/15/2024
28	WFR IP, LLC v. Alibaba Group (U.S.) Inc.	3:24-cv- 02179	4/12/24	Pla: Susan Kalra	Yes	Yes	No	n/a	08/27/2024
29	Linfo IP, LLC v. Third Love, Inc.	4:24-cv- 02195	4/12/24	Pla: Susan Kalra Def: Neil J. McNabnay	Yes	Yes	No	n/a	10/14/2024
30	Flick Intelligence, LLC v. HTC America, Inc.	5:24-cv- 02201	4/12/24	Pla: Susan Kalra	Yes	Yes	No	n/a	08/12/2024
31	PacSec3, LLC v. Radware, Inc.	3:24-cv- 02146	4/10/24	Pla: Susan Kalra	Yes	Yes	No	n/a	09/05/2024
32	VDPP, LLC v. Xiaomi USA LLC	5:24-cv- 01783	3/22/24	Pla: Susan Kalra Def: Jie Li	Yes	Yes	No	n/a	09/04/2024

33	VDPP, LLC v. Vivitek Corporation	5:24-cv-01781	3/22/24	Pla: Susan Kalra Def: Charles S. Barquist	Yes	Yes	No	n/a	08/08/2024
34	VDPP, LLC v. Motorola Mobility LLC	3:24-cv-01672	3/18/24	Pla: Susan Kalra	Yes	Yes	No	n/a	04/23/2024
35	WirelessWerx IP, LLC v. Wing Aviation, LLC	4:24-cv-01040	2/21/24	Pla: Susan Kalra Def: George Thomas Lyons , III	Yes	Yes	No	n/a	04/24/2024
36	Missed Call, LLC v. RingCentral, Inc.	3:23-cv-06728	12/31/23	Pla: Susan Kalra Def: Michael T. Renaud	Yes	Yes	No	n/a	03/11/2024
37	Missed Call, LLC v. 8x8, Inc.	3:23-cv-06723	12/30/23	Pla: Susan Kalra Def: James Warren	Yes	Yes	No	n/a	03/07/2024
38	WirelessWerx IP, LLC v. OnFleet, Inc.	3:23-cv-06724	12/30/23	Pla: Susan Kalra Def: Albert Tong	Yes	Yes	No	n/a	09/13/2024
39	WirelessWerx IP, LLC v. Life360, Inc.	3:23-cv-06725	12/30/23	Pla: Susan Kalra	Yes	Yes	Yes	n/a	

				Def: Karon Nicole Fowler					
40	Mesa Digital, LLC v. Quanta Computer USA, INC.	3:23-cv-06711	12/29/23	Pla: Susan Kalra Def: Jenny C Wu	Yes	Yes	No	n/a	02/22/2024
41	CyboEnergy, Inc. v. Northern Electric Power Technology, Inc.	4:23-cv-06121	11/27/23	Pla: Susan Kalra Def: Xinlin Li Morrow	Yes	Yes	No	n/a	
42	Koji IP, LLC v. Energous Corporation	4:23-cv-05750	11/8/2023	Pla: Susan Kalra Def: Michael J. Lyons	Yes	Yes	No	n/a	02/21/2024
43	HyperQuery, LLC v. LG Electronics U.S.A., Inc.	3:23-cv-04725	9/14/2023	Pla: Susan Kalra Def: Michael Anthony Berta	Yes	Yes	No	09/20/2023 From EDTX	10/02/2023
44	VDPP, LLC v. Vivo, Inc.	5:23-cv-04241	8/18/23	Pla: Susan Kalra	Yes	Yes	No	n/a	01/23/2024
45	Flick Intelligence, LLC v. Niantic, Inc.	3:23-cv-02219	5/5/23	Pla: Susan Kalra Def: Lisa Kim Anh	Yes	Yes	No	n/a	11/28/2023

46	Street Spirit IP LLC v. Meta Platforms, Inc. et al	3:23-cv-00879	2/27/23	Pla: Susan Kalra Def: Alan Michael Billharz	Yes	Yes	No	n/a	07/31/2023
47	Street Spirit IP LLC v. Instagram et al	3:23-cv-00883	2/27/23	Pla: Susan Kalra Def: Alan Michael Billharz	Yes	Yes	No	n/a	07/31/2023
48	Street Spirit IP LLC v. LinkedIn Corporation	3:23-cv-00884	2/27/23	Pla: Susan Kalra Def: Brock S Weber	Yes	Yes	No	n/a	08/02/2023
49	CyboEnergy, Inc. v. Northern Electric Power Technology, Inc.	3:21-cv-08534	11/2/21	Pla: Susan Kalra Def: Xinlin Li Morrow	Yes	Yes	Yes	n/a	12/27/2022
50	PacSec3, LLC v. Juniper Networks, Inc.	5:21-cv-07812	10/6/21	Pla: William P. Ramey Def: Megan Whyman Olesek	Yes	Yes	No	10/06/2021 From EDTX	11/29/2021
51	Apple Inc. v. Traxcell Technologies LLC	3:21-cv-06059	8/5/21	Pla: B. Trent Webb Def: Susan Kalra	Yes	Yes	Yes	n/a	03/28/2022

52	DATREC, LLC v. Prognosis, Inc.	3:21-cv-01595	3/5/21	Pla: Marc Henkin	Yes	Yes	Yes	n/a	06/03/2021
53	NetSoc, LLC v. Quora, Inc.	3:19-cv-06518	10/11/19	Pla: Susan Kalra Def: Steven David Moore	Yes	Yes	Yes	10/11/2019 From SDNY	01/27/2020
54	Global Equity Management (SA) Pty. Ltd. v. Alibaba.com, Inc. et al	3:17-cv-02177	4/19/17	Pla: John Thomas Def: Quinn, Emanuel, Urquhart & Sullivan LLP	Yes	Yes	Yes	04/19/2017 From EDTX	05/07/2020
55	Global Equity Management (SA) Pty. Ltd. v. eBay, Inc.	3:17-cv-02178	4/19/17	Pla: John Thomas	Yes	Yes	Yes in 2177	04/20/2017 From EDTX	05/05/2020
56	Global Equity Management (SA) Pty. Ltd. v. Alibaba Group Holding, Ltd. et al	3:17-cv-02435	4/19/17	Pla: John Thomas	Yes	Yes	Yes in 2177	04/28/2017 From EDTX	05/05/2020

EXHIBIT C



STATE BAR of TEXAS



MR. WILLIAM PETERSON 'BILL' RAMEY

Eligible to Practice in Texas

RAMEY LLP

Bar Card Number: 24027643

TX License Date: 11/01/2000

Primary Practice Location: Houston , Texas

5020 Montrose Blvd Ste 800

Houston, TX 77006-6578

CONTACT INFORMATION

Tel: 713-426-3923 📞

Practice Areas: Antitrust, Business, Technology, Consumer, Ethics-Legal Malpractice, Insurance, Intellectual Property, Labor-Employment, Litigation: Commercial, Litigation: Personal Injury, Oil, Gas and Energy Resources, Real Estate, Entertainment, Construction, Appellate: Civil

Statutory Profile Last Certified On: 04/14/2021

PRACTICE INFORMATION

Firm: Ramey LLP

Firm Size: 2 to 5

Occupation: Private Law Practice

Practice Areas: *Antitrust, Business, Technology, Consumer, Ethics-Legal Malpractice, Insurance, Intellectual Property, Labor-Employment, Litigation: Commercial, Litigation: Personal Injury, Oil, Gas and Energy Resources, Real Estate, Entertainment, Construction, Appellate: Civil*

Services Provided:

Deaf/Hard of Hearing Translation: Not Specified

ADA-accessible client service: Not Specified

Language translation: Yes

COURTS OF ADMITTANCE

Federal:

Fifth Circuit Court of Appeals

Sixth Circuit Court of Appeals

US Court of Appeals for the Federal Circuit

Texas Eastern District Court

Texas Northern District Court

Texas Southern District/Bankruptcy Court

Texas Western District Court

Other Courts:

District Of Columbia - Patent Matters Federal - Other Court

Other States Licensed:

Utah

Fee Options Provided: ?

Contingency Fees: Yes

Flat Fees: Yes

Hourly Rate: Yes

Payment Plans: Yes

Sliding Scale Fees: Yes

Please note: This information is self-reported by Texas attorneys. Current license or admittance status can only be certified by the appropriate court or licensing entity.

Please note: Not all payment options are available for all cases, and any payment arrangement must be agreed upon by the attorney and his/her client. The State Bar of Texas is not responsible for payment arrangements between an attorney and his/her client.

Foreign Language Assistance:

Chinese, Spanish

LAW SCHOOL**School****Degree earned**

South Texas College of Law

Graduation Date 05/2000**PUBLIC DISCIPLINARY HISTORY****State Bar of Texas**

No Public Disciplinary History

Other States

None Reported By Attorney

Sanctions that indicate a judgment is on appeal are still in effect but are not final and subject to change. To request a copy of a disciplinary judgment that is not available online or for more information about a specific disciplinary sanction listed above, please contact the Office of the chief Disciplinary Counsel at (877) 953-5535.

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EXHIBIT D



STATE BAR of TEXAS



MR. JEFFREY 'JEFF' KUBIAK

Eligible to Practice in Texas

RAMEY LLP

Bar Card Number: 24028470

TX License Date: 11/01/2000

Primary Practice Location: Houston , Texas

5020 Montrose Blvd Ste 800
Houston, TX 77006-6578

CONTACT INFORMATION

Tel: -- 📞

Practice Areas: Intellectual Property

Statutory Profile Last Certified On: 02/02/2021

PRACTICE INFORMATION

Firm: Ramey LLP

Firm Size: 2 to 5

Occupation: Private Law Practice

Practice Areas: Intellectual Property

Services Provided:

Deaf/Hard of Hearing Translation: Not Specified

ADA-accessible client service: Not Specified

Language translation: Not Specified

Fee Options Provided: ?

None Reported By Attorney

Please note: Not all payment options are available for all cases, and any payment arrangement must be agreed upon by the attorney and his/her client. The State Bar of Texas is not responsible for payment arrangements between an attorney and his/her client.

COURTS OF ADMITTANCE

Federal:

Texas Southern District/Bankruptcy Court
US Patent and Trademark Office

Other Courts:

None Reported By Attorney

Other States Licensed:

None Reported By Attorney

Please note: This information is self-reported by Texas attorneys. Current license or admittance status can only be certified by the appropriate court or licensing entity.

Foreign Language Assistance:

None Reported By Attorney

LAW SCHOOL**School****Degree earned**

South Texas College of Law

Graduation Date 05/2000**PUBLIC DISCIPLINARY HISTORY****State Bar of Texas**

No Public Disciplinary History

Other States

None Reported By Attorney

Sanctions that indicate a judgment is on appeal are still in effect but are not final and subject to change. To request a copy of a disciplinary judgment that is not available online or for more information about a specific disciplinary sanction listed above, please contact the Office of the chief Disciplinary Counsel at (877) 953-5535.

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EXHIBIT E

From: [Gene Quinn](#)
To: [William Ramey](#)
Cc: [Eileen McDermott](#); [Renee Quinn](#)
Subject: IPWatchdog
Date: Friday, April 4, 2025 9:14:48 AM

Hi Bill.

I write today to inform you of my decision to remove your articles and profile from IPWatchdog.com. With you being sanctioned multiple times in multiple courts we cannot allow the taint of your reputation to be transferred to IPWatchdog.

-Gene

Eugene R. Quinn, Jr.
President & CEO, IPWatchdog.com

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EXHIBIT F

<div>Patent Claims Analysis</div> <div>of</div> <div>US10790703: "Smart wireless power transfer between devices"</div> <div>against</div> <div>Renesas Electronics's PTX130W/PTX30W</div>			
<div>US10790703B2</div> <div>United States</div> <div>Inventor Koji Yoden</div>			
<div>Worldwide applications</div> <div>2017 US</div>			
15/843,092	Claims priority from a provisional application	62/435,883	12/19/2016
<div>Total patentTerm Adjustments</div> <div>0</div>			

CLAIMS

1. A wireless power transfer system for wirelessly charging a powered device, comprising:

a battery power source for supplying power to the wireless power transfer system;


wireless communication circuitry for establishment of a close-range wireless communication over which a message associated with the powered device is communicated from the powered device; and

wireless powering circuitry including a transmitter configured to emit electromagnetic waves to form a radiative powering region within which the electromagnetic waves can be received by wireless powered circuitry of the powered device to generate power for charging a battery in the powered device, the wireless powering circuitry being configured to be activated when the close-range wireless communication is established,

wherein transmission power of the wireless communication circuitry is so controlled as to make a range of the close-range wireless communication substantially narrower than a range of the radiative powering region,

wherein the message is issued by the powered device when a battery level of the battery is below a predetermined threshold, and the wireless powering circuitry is configured to be activated in response to receipt of the message from the powered device over the established close-range wireless communication, and

wherein, when the wireless power transfer system is powered by the battery power source, a determination is made whether a level of drop in a battery level of the battery power source in a given time period is below a threshold, so that activation of the wireless powering circuitry is allowed only when the level of drop is determined to be below the threshold.

US10790703 Claim 1	Renesas Electronics's PTX130W/PTX30W
1. A wireless power transfer system for wirelessly charging a powered device, comprising:	<div data-bbox="509 331 1084 426"></div> <div data-bbox="509 493 1559 552"><p>PTX130W/PTX30W Hardware Integration</p></div> <div data-bbox="509 699 1321 760"><p>© 2023 Renesas Electronics</p></div> <div data-bbox="482 785 1591 850"><p><https://www.renesas.com/us/en/document/mah/ptx130w-ptx30w-hardware-integration-manual?r=25426216></p></div> <div data-bbox="482 856 828 886"><p>R35UH0013EE0100 Rev.1.00</p></div> <div data-bbox="482 894 641 924"><p>Nov 22, 2023</p></div> <div data-bbox="482 966 1591 1031"><p><i>Renesas Electronics's PTX130W/PTX30W (MUST BE BOUGHT TOGETHER IN ORDER TO ACHIEVE POWER TRANSFER) is a wireless power transfer system for wirelessly charging a powered device.</i></p></div>

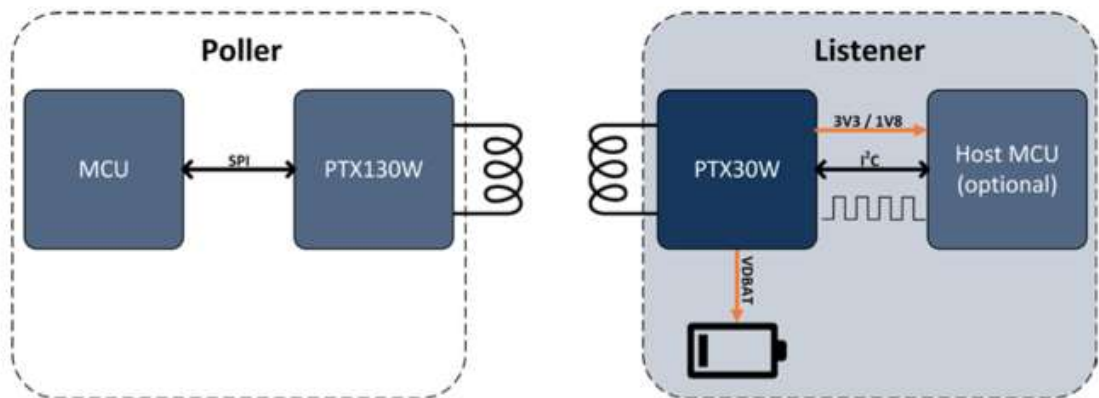
US10790703 Claim 1	Renesas Electronics's PTX130W/PTX30W																																														
a battery power source for supplying power to the wireless power transfer system;	<table border="1"> <thead> <tr> <th data-bbox="483 321 1219 359">Product features</th><th data-bbox="1219 321 1604 359"></th></tr> </thead> <tbody> <tr><td data-bbox="483 359 1219 396">Ultra-low power on-chip embedded core</td><td data-bbox="1219 359 1604 396">✓</td></tr> <tr><td data-bbox="483 396 1219 434">Integrated PMIC solution</td><td data-bbox="1219 396 1604 434">✓</td></tr> <tr><td data-bbox="483 434 1219 472">Integrated flexible battery charger with reverse current limiter</td><td data-bbox="1219 434 1604 472">✓</td></tr> <tr><td data-bbox="483 472 1219 510">Integrated highly efficient active rectifier</td><td data-bbox="1219 472 1604 510">✓</td></tr> <tr><td data-bbox="483 510 1219 548">Standalone mode of operation (without Host MCU)</td><td data-bbox="1219 510 1604 548">✓</td></tr> <tr><td data-bbox="483 548 1219 585">Embedded power regulation control</td><td data-bbox="1219 548 1604 585">✓</td></tr> <tr><td data-bbox="483 585 1219 623">Required PCB integration area (est.)</td><td data-bbox="1219 585 1604 623">17 mm²</td></tr> <tr><td data-bbox="483 623 1219 661">Rectification efficiency (AC to DC)</td><td data-bbox="1219 623 1604 661">up to 92%</td></tr> <tr><td data-bbox="483 661 1219 699">Energy harvesting [W]</td><td data-bbox="1219 661 1604 699">up to 1W</td></tr> <tr><td data-bbox="483 699 1219 737">Charging current range [mA]</td><td data-bbox="1219 699 1604 737">5-250 mA</td></tr> <tr><td data-bbox="483 737 1219 774"><u>Li-Ion and Li-Polymer batteries support</u></td><td data-bbox="1219 737 1604 774">✓</td></tr> <tr><td data-bbox="483 774 1219 812">Charge status monitor</td><td data-bbox="1219 774 1604 812">✓</td></tr> <tr><td data-bbox="483 812 1219 850">On-chip over-temperature detection/protection</td><td data-bbox="1219 812 1604 850">✓</td></tr> <tr><td data-bbox="483 850 1219 888">Transparent data exchange channel</td><td data-bbox="1219 850 1604 888">✓</td></tr> <tr><td data-bbox="483 888 1219 926">Shipping mode (support for battery protection)</td><td data-bbox="1219 888 1604 926">✓</td></tr> <tr><td data-bbox="483 926 1219 963">System MCU supply output voltage, typ. [V]</td><td data-bbox="1219 926 1604 963">1.8, 3.3 V</td></tr> <tr><td data-bbox="483 963 1219 1001">Battery-less power supply output</td><td data-bbox="1219 963 1604 1001">✓</td></tr> <tr><td data-bbox="483 1001 1219 1039">JEITA support</td><td data-bbox="1219 1001 1604 1039">✓</td></tr> <tr><td data-bbox="483 1039 1219 1077">Shipping mode current consumption, typ. [nA]</td><td data-bbox="1219 1039 1604 1077">25 nA</td></tr> <tr><td data-bbox="483 1077 1219 1115">I2C clock frequency [kHz]</td><td data-bbox="1219 1077 1604 1115">Up to 1 MHz</td></tr> <tr><td data-bbox="483 1115 1219 1152">Available packages</td><td data-bbox="1219 1115 1604 1152">CSP16</td></tr> <tr><td data-bbox="483 1152 1219 1190">Temperature range [°C]</td><td data-bbox="1219 1152 1604 1190">-40 to +85</td></tr> </tbody> </table> <p data-bbox="483 1228 1604 1297"><https://www.renesas.com/us/en/document/ovr/nfc-wireless-charging-wlc-product-overview?r=25426216> 2022-12-15</p> <p data-bbox="483 1335 1604 1404"><i>For example, Renesas Electronics's PTX130W/PTX30W describes "Li-Ion and Li-Polymer batteries support", which means the existence of a battery power source.</i></p>	Product features		Ultra-low power on-chip embedded core	✓	Integrated PMIC solution	✓	Integrated flexible battery charger with reverse current limiter	✓	Integrated highly efficient active rectifier	✓	Standalone mode of operation (without Host MCU)	✓	Embedded power regulation control	✓	Required PCB integration area (est.)	17 mm ²	Rectification efficiency (AC to DC)	up to 92%	Energy harvesting [W]	up to 1W	Charging current range [mA]	5-250 mA	<u>Li-Ion and Li-Polymer batteries support</u>	✓	Charge status monitor	✓	On-chip over-temperature detection/protection	✓	Transparent data exchange channel	✓	Shipping mode (support for battery protection)	✓	System MCU supply output voltage, typ. [V]	1.8, 3.3 V	Battery-less power supply output	✓	JEITA support	✓	Shipping mode current consumption, typ. [nA]	25 nA	I2C clock frequency [kHz]	Up to 1 MHz	Available packages	CSP16	Temperature range [°C]	-40 to +85
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a battery power source for supplying power to the **wireless power transfer system**;

NFC wireless charging system consists of:

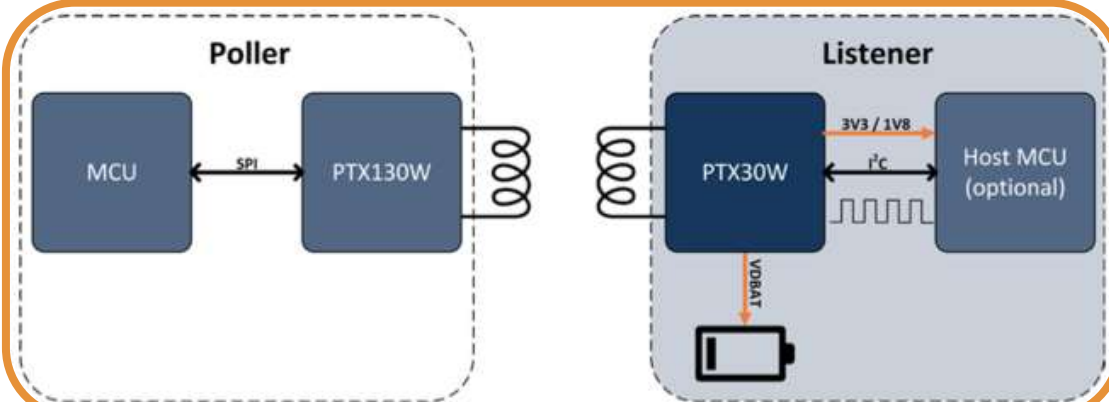
- WLC Poller (power transmitter and communication initiator)
- WLC Listener (power receiver)

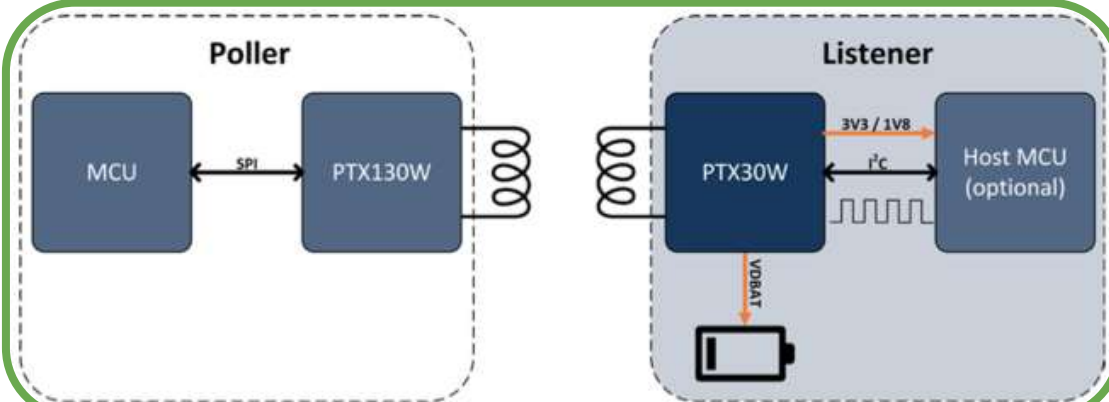
NFC wireless charging solution is based on well-established NFC technology operating at 13.56MHz.

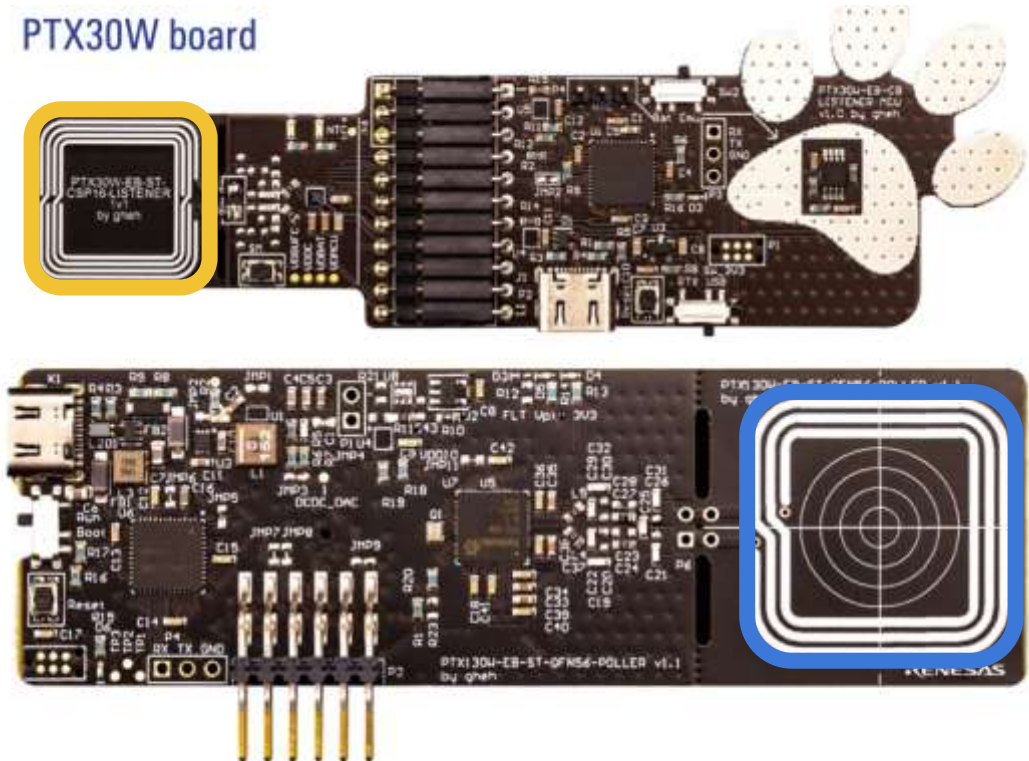


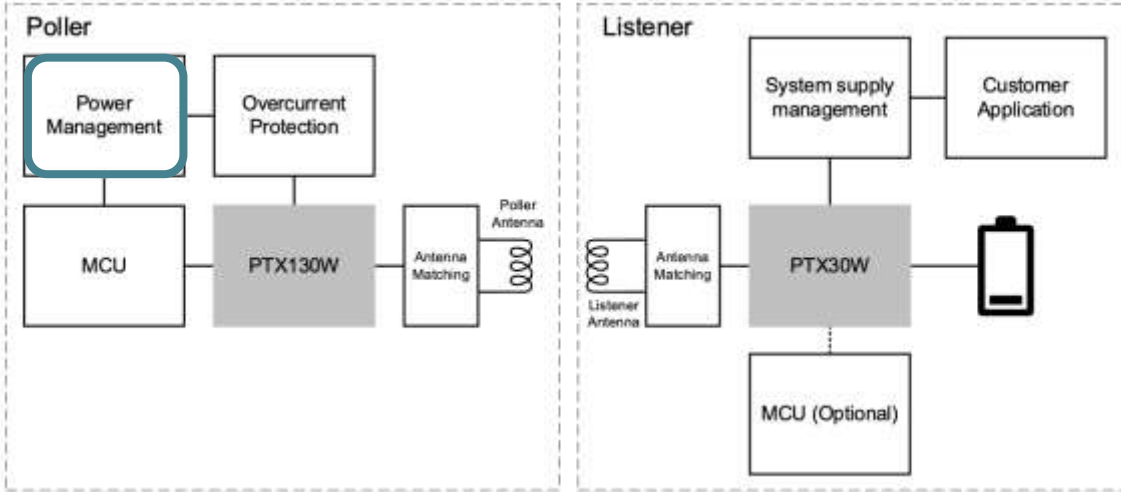
<<https://www.renesas.com/us/en/document/ovr/nfc-wireless-charging-wlc-product-overview?r=25426216>> 2022-12-15

For example, Renesas Electronics's PTX130W/PTX30W describes "Li-Ion and Li-Polymer batteries support", which means supplying power to the wireless power transfer system.

US10790703 Claim 1	Renesas Electronics's PTX130W/PTX30W
<p>wireless communication circuitry for establishment of a close-range wireless communication over which a message associated with the powered device is communicated from the powered device; and</p>	<p>NFC wireless charging system consists of:</p> <ul style="list-style-type: none"> • WLC Poller (power transmitter and communication initiator) • WLC Listener (power receiver) <p>NFC wireless charging solution is based on well-established NFC technology operating at 13.56MHz.</p>  <p><https://www.renesas.com/us/en/document/prb/ptx30w-nfc-wireless-charging-listener-ic-product-brief?r=25426216> 2022-12-15</p> <p><i>For example, Renesas Electronics's PTX130W/PTX30W depicts and describes wireless communication circuitry for establishment of a close-range wireless communication (NFC wireless) over which a message associated with the powered device is communicated from the powered device. If there is communication, there should be exchange of messages. NFC is close-range wireless communication technology.</i></p>

US10790703 Claim 1	Renesas Electronics's PTX130W/PTX30W
<p>wireless powering circuitry including a transmitter configured to emit electromagnetic waves to form a radiative powering region within which the electromagnetic waves can be received by wireless powered circuitry of the powered device to generate power for charging a battery in the powered device, the wireless powering circuitry being configured to be activated when the close-range wireless communication is established,</p>	<p>NFC wireless charging system consists of:</p> <ul style="list-style-type: none"> • <u>WLC Poller (power transmitter and communication initiator)</u> • <u>WLC Listener (power receiver)</u> <p>NFC wireless charging solution is based on well-established NFC technology operating at 13.56MHz.</p>  <p><https://www.renesas.com/us/en/document/prb/ptx30w-nfc-wireless-charging-listener-ic-product-brief?r=25426216> 2022-12-15</p> <p><i>For example, Renesas Electronics's PTX130W/PTX30W describes WLC Poller (power transmitter and communication initiator) and WLC Listener (power receiver) which form wireless powering circuitry being configured to be activated when the close-range wireless communication is established. Transmitter and Receiver use electromagnetic waves to communicate. Charging is activated only when close-range communication is activated.</i></p>

<p>US10790703 Claim 1</p>	<p>Renesas Electronics's PTX130W/PTX30W</p>
<p>wherein transmission power of the wireless communication circuitry is so controlled as to make a range of the close-range wireless communication substantially narrower than a range of the radiative powering region,</p>	<p>PTX30W board</p>  <p>WLC Poller (PTX130W) board</p> <p><https://www.renesas.com/us/en/document/mah/ptx130w-ptx30w-hardware-integration-manual?r=25426216> 2022-12-15</p> <p><i>For example, Renesas Electronics's PTX130W/PTX30W depicts a close-range wireless communication (ABOVE, SMALL YELLOW) and a radiative powering region (BELOW, LARGE BLUE WITH CONCENTRIC CIRCLES), in which the close-range wireless communication is substantially narrower than the radiative powering region.</i></p>

US10790703 Claim 1	Renesas Electronics's PTX130W/PTX30W
<p>wherein the message is issued by the powered device when a battery level of the battery is below a predetermined threshold, and the wireless powering circuitry is configured to be activated in response to receipt of the message from the powered device over the established close-range wireless communication, and</p>	<p>Using the VDDC node to supply the system requires power management on that node. The voltage present on the load is either directly the battery voltage when there is no RF field present, or a voltage roughly 300mV higher than the battery voltage, up to a maximum of 5.2V while it is charging. The limits on the VDDC current capability when driving the system are given in the datasheet.</p>  <p>The diagram illustrates the hardware integration of the PTX130W/PTX30W transceiver. It is divided into two main sections: Poller and Listener.</p> <p>Poller Section: Includes a Power Management block (highlighted with a blue border), an MCU, an Overcurrent Protection block, a PTX130W transceiver, an Antenna Matching block, and a Poller Antenna.</p> <p>Listener Section: Includes a System supply management block, a Customer Application block, a PTX30W transceiver, an Antenna Matching block, a Listener Antenna, and an optional MCU (Optional).</p> <p>https://www.renesas.com/us/en/document/mah/ptx130w-ptx30w-hardware-integration-manual?r=25426216 2022-12-15</p> <p><i>For example, Renesas Electronics's PTX130W/PTX30W has power management which conducts "The voltage present on the load is either directly the battery voltage when there is no RF field present, or a voltage roughly 300mV higher than the battery voltage, up to a maximum of 5.2V while it is charging. The limits on the VDDC current capability when driving the system are given in the datasheet."</i></p>

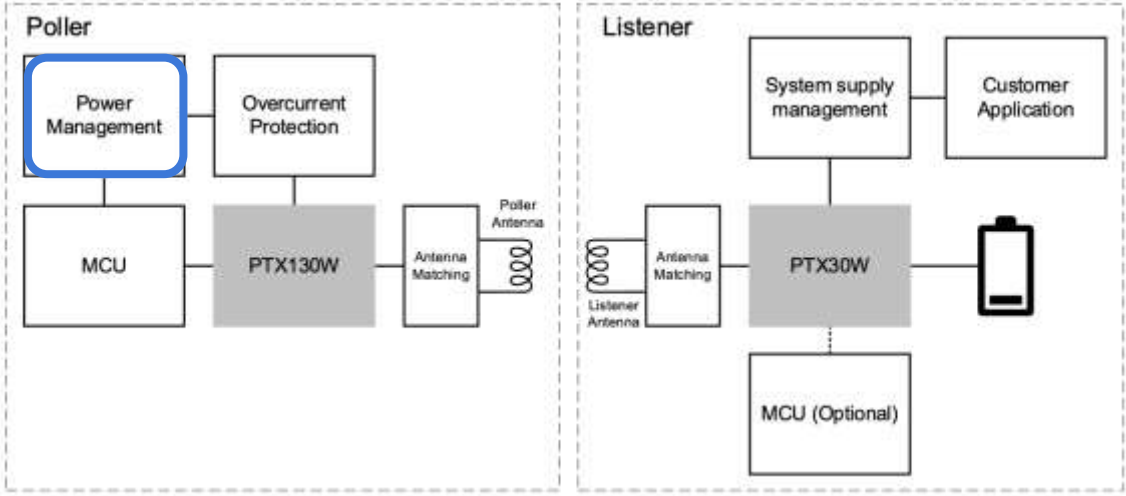

US10790703 Claim 1	Renesas Electronics's PTX130W/PTX30W
<p>wherein, when the wireless power transfer system is powered by the battery power source, a determination is made whether a level of drop in a battery level of the battery power source in a given time period is below a threshold, so that activation of the wireless powering circuitry is allowed only when the level of drop is determined to be below the threshold.</p>	<p>Using the VDDC node to supply the system requires power management on that node. The voltage present on the load is either directly the battery voltage when there is no RF field present, or a voltage roughly 300mV higher than the battery voltage, up to a maximum of 5.2V while it is charging. The limits on the VDDC current capability when driving the system are given in the datasheet.</p>  <p>https://www.renesas.com/us/en/document/mah/ptx130w-ptx30w-hardware-integration-manual?r=25426216 2022-12-15</p> <p><i>For example, Renesas Electronics's PTX130W/PTX30W has power management which conducts "The voltage present on the load is either directly the battery voltage when there is no RF field present, or a voltage roughly 300mV higher than the battery voltage, up to a maximum of 5.2V while it is charging. The limits on the VDDC current capability when driving the system are given in the datasheet."</i></p>

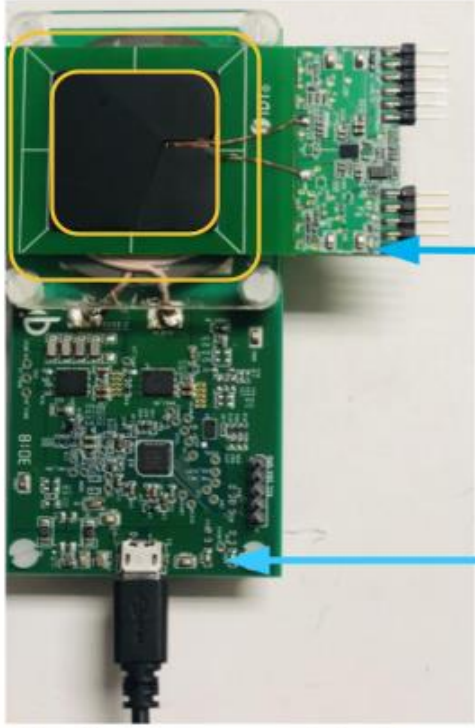
EXHIBIT G

US10790703 B2 Claim 1	Renesas Electronics's EVK Evaluation Kit
<p>1. A wireless power transfer system for wirelessly charging a powered device, comprising:</p>	<div data-bbox="467 338 626 373">  </div> <div data-bbox="954 344 1429 380"> P9222-R-EVK Evaluation Kit Manual </div> <hr data-bbox="467 394 1429 403"/> <div data-bbox="467 449 623 485"> Description </div> <div data-bbox="467 493 925 695"> <p>The P9222-R-EVK Wireless Power Evaluation Board can be used to demonstrate the features and performance of the P9222-R 5W Wireless Power Receiver in low power 2.5W applications such as in earbuds charging cases. The P9222-R-EVK can also supply up to 5W power. IDT's P9235A-RB-EVK Evaluation Board or any other Qi certified transmitter can be used as the power transmitter for P9222-R-EVK evaluation board testing.</p> </div> <div data-bbox="467 711 925 940"> <p>The P9222-R-EVK demonstrates a high-efficiency, turnkey reference design and is supported by comprehensive online, digital resources to significantly expedite the design-in effort and enable rapid prototyping. The printed circuit board (PCB) has four layers. The total solution area (excluding coil) is approximately 70 mm² out of which 37 mm² is occupied by the components. A small 30×30mm power receiver coil is used in the design to meet small form-factor device requirements.</p> </div> <div data-bbox="467 957 925 1215"> <p>Using the P9222-R Windows GUI and the P9222-R-EVK, customers can quickly customize operating parameters for their applications. Operating parameters such as foreign object detection (FOD) parameters can be configured by either writing to internal SRAM registers via the I2C interface, or by loading the user configuration generated by the P9222-R Windows GUI into an external EEPROM. The P9222-R-EVK has an on-board external EEPROM and connectors to plug-in the USB to an I2C programming dongle.</p> </div> <div data-bbox="987 449 1104 485"> Features </div> <div data-bbox="987 493 1438 898"> <ul style="list-style-type: none"> • WPC1.2.4 Baseline Power Profile (5W) compatible • Design optimized for low power (2.5W) applications with 30×30mm coil • Approximately 70mm² solution area • Schematic and layout files are available online • Works with the P9222-R Windows GUI • Easy configuration of design parameters through I2C interface • On-board external EEPROM for flexible design parameter updates • J12 connector compatible with the "USB-FTDI-V2-1" (FTDI) and ARM60 USB-to-I2C dongles • 4-layer PCB with 1oz copper </div> <div data-bbox="987 932 1154 968"> Kit Contents </div> <div data-bbox="987 976 1421 1008"> <ul style="list-style-type: none"> • P9222-R-EVK Evaluation board including the coil assembly </div> <div data-bbox="493 1239 1292 1276"> <p>© 2020 Renesas Electronics Corporation. All rights reserved.</p> </div> <div data-bbox="456 1291 1453 1327"> <p>https://www.renesas.com/us/en/document/mah/p9222-r-evaluation-kit-manual?r=32315</p> </div> <div data-bbox="456 1362 1432 1434"> <p>Renesas Electronics's EVK Evaluation Kit is a wireless power transfer system for wirelessly charging a powered device.</p> </div> <div data-bbox="456 1467 1377 1539"> <p>The reference includes subject matter disclosed by the claims of the patent after the priority date.</p> </div>

US10790703 B2 Claim 1	Renesas Electronics's EVK Evaluation Kit
a battery power source for supplying power to the wireless power transfer system;	<p>3.1 LDO Output Voltage (VOUT) Configuration</p> <p>The default VOUT voltage of the P9222-R-EVK is 5.0V. The user can change the default Vout voltage in accordance with specific user design requirements and store the modified configuration in the external EEPROM, or an external Applications Processor (AP) can adjust VOUT voltage continuously via the I2C interface. In addition, an external MCU can continuously read the battery voltage and change VOUT to lower the losses in the battery charger to optimize the total system efficiency. The P9222-R configurable Vout voltage range is from 3.5V to 12V.</p> <p><https://www.renesas.com/us/en/document/mah/p9222-r-evaluation-kit-manual?r=32315></p> <p>The reference describes a battery power source for supplying power to the wireless power transfer system.</p>

US10790703 B2 Claim 1	Renesas Electronics's EVK Evaluation Kit
wireless communication circuitry for establishment of a close-range wireless communication over which a message associated with the powered device is communicated from the powered device; and	<p>3.4.1 Modulation Capacitor and Interrupt Enables</p> <p>The P9222-R sends the communication packets to the transmitter using ASK modulation of the coil voltage. For ASK modulation, the P9222-R switches the capacitors on and off that are on the COM1, COM2, CMA, and CMB pins using internal MOSFETs. By default, the P9222-R switches only the MOSFETs on the COM1 and COM2 pins. ASK modulation depth can be increased by enabling the switches on the CMA and CMB pins. Measure the modulation depth on the transmitter demodulation circuitry, and if too small, adjust the ASK modulation depth by enabling the CMA and CMB switches. Modulation depth can also be increased by increasing the capacitor value. The AP can also change the ASK modulation depth by writing to the ASK modulation depth Registers (0xF4).</p> <p><https://www.renesas.com/us/en/document/mah/p9222-r-evaluation-kit-manual?r=32315></p> <p>The reference describes wireless communication circuitry for establishment of a close-range wireless communication over which a message associated with the powered device is communicated from the powered device.</p>

US10790703 B2 Claim 1	Renesas Electronics's EVK Evaluation Kit
<p>wireless powering circuitry including a transmitter configured to emit electromagnetic waves to form a radiative powering region within which the electromagnetic waves can be received by wireless powered circuitry of the powered device to generate power for charging a battery in the powered device, the wireless powering circuitry being configured to be activated when the close-range wireless communication is established,</p>	<p>3.4.1 Modulation Capacitor and Interrupt Enables</p> <p>The P9222-R sends the communication packets to the transmitter using ASK modulation of the coil voltage. For ASK modulation, the P9222-R switches the capacitors on and off that are on the COM1, COM2, CMA, and CMB pins using internal MOSFETs. By default, the P9222-R switches only the MOSFETs on the COM1 and COM2 pins. ASK modulation depth can be increased by enabling the switches on the CMA and CMB pins. Measure the modulation depth on the transmitter demodulation circuitry, and if too small, adjust the ASK modulation depth by enabling the CMA and CMB switches. Modulation depth can also be increased by <u>increasing the capacitor value</u>. The AP can also change the ASK modulation depth by writing to the ASK modulation depth Registers (0xF4).</p> <p>https://www.renesas.com/us/en/document/mah/p9222-r-evaluation-kit-manual?r=32315</p> <p>The reference describes wireless powering circuitry including a transmitter configured to emit electromagnetic waves to form a radiative powering region within which the electromagnetic waves can be received by wireless powered circuitry of the powered device to generate power for charging a battery in the powered device, the wireless powering circuitry being configured to be activated when the close-range wireless communication is established.</p>

US10790703 B2 Claim 1	Renesas Electronics's EVK Evaluation Kit
<p>wherein transmission power of the wireless communication circuitry is so controlled as to make a range of the close-range wireless communication substantially narrower than a range of the radiative powering region,</p>	 <p>Green LED on P9222-R-EVK indicates the wireless connection has been made</p> <p>Green LED on P9235A-RB-EVK indicates the wireless connection has been made</p> <p>https://www.renesas.com/us/en/document/mah/p9222-r-evaluation-kit-manual?r=32315</p> <p>The reference describes transmission power of the wireless communication circuitry is so controlled as to make a range of the close-range wireless communication substantially narrower than a range of the radiative powering region.</p>

US10790703 B2 Claim 1	Renesas Electronics's EVK Evaluation Kit
<p>wherein the message is issued by the powered device when a battery level of the battery is below a predetermined threshold, and the wireless powering circuitry is configured to be activated in response to receipt of the message from the powered device over the established close-range wireless communication, and</p> <p>wherein, when the wireless power transfer system is powered by the battery power source, a determination is made whether a level of drop in a battery level of the battery power source in a given time period is below a threshold, so that activation of the wireless powering circuitry is allowed only when the level of drop is determined to be below the threshold.</p>	<p>3.2 Current Limit (ILIM) Configuration</p> <p>The current limit <u>threshold</u> value is used to limit the output current of main LDO on the VOUT pin. If the output current reaches the target limit value, the VOUT voltage level will decrease due to the current limit setting if the output load is over the current limit level. The default ILIM value of the P9222-R-EVK is 1.6A. The user can change the default current limit value in accordance with specific user design requirements and store the modified configuration into an external EEPROM. In addition, after the P9222-R enters the power transfer phase, an external AP can adjust the ILIM value by writing to the ILIM_Set register (0x3D) via the I2C interface. The P9222-R firmware reads the internal register value in regular time base and updates the current limit value. The current limit can be incremented in steps of 100mA.</p> <p style="text-align: center;">Current Limit (ILIM) = Decimal Value of 0x3D register * 0.1 (A) Equation 2</p> <p>The default Current Limit value can be configured by writing a configuration file into the external EEPROM. The configuration file can be generated using the P9222-R Windows GUI. For information on how the configuration file can be generated using the P9222-R Windows GUI, see "VOUT Configuration Change Using an External EEPROM."</p> <p>https://www.renesas.com/us/en/document/mah/p9222-r-evaluation-kit-manual?r=32315</p> <p>The reference describes the message is issued by the powered device when a battery level of the battery is below a predetermined threshold, and the wireless powering circuitry is configured to be activated in response to receipt of the message from the powered device over the established close-range wireless communication.</p> <p>The reference describes when the wireless power transfer system is powered by the battery power source, a determination is made whether a level of drop in a battery level of the battery power source in a given time period is below a threshold, so that activation of the wireless powering circuitry is allowed only when the level of drop is determined to be below the threshold.</p>

EXHIBIT H

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Attorneys for Plaintiff
 Koji IP, LLC

**UNITED STATES DISTRICT COURT
 NORTHERN DISTRICT OF CALIFORNIA**

KOJI IP, LLC, a Texas Corporation,

Plaintiff,

v.

RENESAS ELECTRONICS AMERICA,
 INC., a California Corporation,

Defendant.

Case No.: 3:23-cv-05752

**PLAINTIFF’S FIRST AMENDED
 COMPLAINT FOR PATENT
 INFRINGEMENT**

(35 U.S.C. § 271)

JURY TRIAL DEMANDED

Koji IP, LLC (“Plaintiff” or “Koji”) files this First Amended Complaint and demand for jury trial seeking relief from patent infringement of the claims of U.S. Patent No. 10,790,703 (“the ’703 patent”) (referred to as the “Patent-in-Suit”) by Renesas Electronics America Inc. (“Defendant” or “Renesas”). This First Amended complaint is filed by Agreement of the Parties and before the defendant has answered or otherwise pleaded.

I. THE PARTIES

1 1. Plaintiff is a Texas Limited Liability Company with its principal place of business located
2 in Travis County, Texas.

3 2. On information and belief, Defendant is a corporation organized and existing under the laws
4 of the State of California, with a regular and established place of business located at 6024 Silver
5 Creek Valley Road, San Jose, California 95138.

6 3. On information and belief, Defendant sells and offers to sell products and services
7 throughout California, including in this judicial district, and introduces products and services that
8 perform infringing methods or processes into the stream of commerce knowing that they would be
9 sold in California and this judicial district. Defendant has been served.

11 **II. JURISDICTION AND VENUE**

12 4. This Court has original subject-matter jurisdiction over the entire action pursuant to 28
13 U.S.C. §§ 1331 and 1338(a) because Plaintiff's claim arises under an Act of Congress relating to
14 patents, namely, 35 U.S.C. § 271.

15 5. This Court has personal jurisdiction over Defendant because: (i) Defendant is present within
16 or has minimum contacts within the State of California and this judicial district; (ii) Defendant has
17 purposefully availed itself of the privileges of conducting business in the State of California and in
18 this judicial district; and (iii) Plaintiff's cause of action arises directly from Defendant's business
19 contacts and other activities in the State of California and in this judicial district.

20 6. Venue is proper in this district under 28 U.S.C. §§ 1391(b) and 1400(b). Defendant has
21 committed acts of infringement and has a regular and established place of business in this District.
22 Further, venue is proper because Defendant conducts substantial business in this forum, directly or
23 through intermediaries, including: (i) at least a portion of the infringements alleged herein; and (ii)
24 regularly doing or soliciting business, engaging in other persistent courses of conduct and/or
25

1 deriving substantial revenue from goods and services provided to individuals in California and this
2 District.

3 **III. INFRINGEMENT - Infringement of the '703 Patent**

4 7. On September 29, 2020, U.S. Patent No. 10,790,703 (“the '703 patent”, included as Exhibit
5 A and part of this complaint) entitled “Smart wireless power transfer between devices” was duly
6 and legally issued by the U.S. Patent and Trademark Office. Plaintiff owns the '703 patent by
7 assignment.

8
9 8. The '703 patent relates to novel and improved methods and systems for wireless power
10 charging.

11 9. Defendant maintains, operates, and administers systems, products, and services that
12 infringes one or more of claims 1-4 of the '703 patent, literally or under the doctrine of equivalents.
13 Defendant put the inventions claimed by the '703 Patent into service (i.e., used them); but for
14 Defendant's actions, the claimed-inventions embodiments involving Defendant's products and
15 services would never have been put into service. Defendant's acts complained of herein caused
16 those claimed-invention embodiments as a whole to perform, and Defendant's procurement of
17 monetary and commercial benefit from it.

18
19 10. Support for the allegations of infringement may be found in the chart attached as Exhibit B.
20 These allegations of infringement are preliminary and are therefore subject to change.

21
22 11. Defendant has and continues to induce infringement. Defendant has actively encouraged or
23 instructed others (e.g., its customers and/or the customers of its related companies), and continues
24 to do so, on how to use its products and services (e.g., for wireless power charging) such as to cause
25 infringement of one or more of claims 1-4 of the '703 patent, literally or under the doctrine of
26 equivalents. Moreover, Defendant has known of the '703 patent and the technology underlying it
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28

1 from at least the filing date of the lawsuit.¹ For clarity, direct infringement is previously alleged in
 2 this complaint. Further, Renesas specifically intended that a third party infringe the patent and knew
 3 that the third party's acts constituted infringement.

4 12. Defendant has and continues to contributorily infringe. Defendant has actively encouraged
 5 or instructed others (e.g., its customers and/or the customers of its related companies), and continues
 6 to do so, on how to use its products and services (e.g., for wireless power charging) and related
 7 services such as to cause infringement of one or more of claims 1-4 of the '703 patent, literally or
 8 under the doctrine of equivalents. Further, there are no substantial non-infringing uses for
 9 Defendant's products and services. Moreover, Defendant has known of the '703 patent and the
 10 technology underlying it from at least the filing date of the lawsuit.² For clarity, direct infringement
 11 is previously alleged in this complaint. Further, Renesas specifically intended that a third party
 12 infringe the patent and knew that the third party's acts constituted infringement.

13 13. Defendant has caused and will continue to cause Plaintiff damage by direct and indirect
 14 infringement of (including inducing infringement of) the claims of the '703 patent.

15 **IV. JURY DEMAND**

16 Plaintiff hereby requests a trial by jury on issues so triable by right.

17 **V. PRAYER FOR RELIEF**

18 WHEREFORE, Plaintiff prays for relief as follows:

- 19 a. enter judgment that Defendant has infringed the claims of the '703 patent;
- 20 b. award Plaintiff damages in an amount sufficient to compensate it for Defendant's
- 21 infringement of the Patents-in-Suit in an amount no less than a reasonable royalty or lost

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 27 ¹ Plaintiff reserves the right to amend and add inducement pre-suit if discovery reveals an earlier
 date of knowledge.

28 ² Plaintiff reserves the right to amend and add inducement pre-suit if discovery reveals an earlier
 date of knowledge.

- 1 profits, together with pre-judgment and post-judgment interest and costs under 35 U.S.C. §
2 284;
- 3 c. award Plaintiff an accounting for acts of infringement not presented at trial and an award by
4 the Court of additional damage for any such acts of infringement;
- 5 d. declare this case to be “exceptional” under 35 U.S.C. § 285 and award Plaintiff its attorneys’
6 fees, expenses, and costs incurred in this action;
- 7 e. declare Defendant’s infringement to be willful and treble the damages, including attorneys’
8 fees, expenses, and costs incurred in this action and an increase in the damage award
9 pursuant to 35 U.S.C. § 284;
- 10 f. a decree addressing future infringement that either (i) awards a permanent injunction
11 enjoining Defendant and its agents, servants, employees, affiliates, divisions, and
12 subsidiaries, and those in association with Defendant from infringing the claims of the
13 Patents-in-Suit, or (ii) awards damages for future infringement in lieu of an injunction in an
14 amount consistent with the fact that for future infringement the Defendant will be an
15 adjudicated infringer of a valid patent, and trebles that amount in view of the fact that the
16 future infringement will be willful as a matter of law; and
- 17 g. award Plaintiff such other and further relief as this Court deems just and proper.
18
19
20
21

22 Dated: January 12, 2024

Respectfully submitted,

23 RAMEY LLP

24 /s/ Susan S.Q. Kalra

25 Susan S.Q. Kalra (CA State Bar No. 16740)

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Attorneys for Plaintiff
Koji IP LLC

DEMAND FOR JURY TRIAL

Plaintiff hereby requests a trial by jury on issues so triable by right.

Dated: January 12, 2024

Respectfully submitted,

RAMEY LLP

/s/ Susan S.Q. Kalra

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EXHIBIT I



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415.969.6918

January 18, 2024

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Susan S.Q. Kalra (skalra@rameyfirm.com)
Ramey LLP
5020 Montrose Blvd., Suite 800
Houston, TX 77006

Re: *Koji IP, LLC v. Renesas Electronics America, Inc.*
Case No. 3:23-cv-05752-LJC (N.D. Cal.)

Dear Bill:

Koji IP has suggested that it will serve infringement contentions against additional Renesas products (RX111, ISL1801 and PTX30W). To date, Koji IP has failed to provide any claim charts or analysis to substantiate this supposed infringement.

Nevertheless, even a cursory analysis indicates that any such claims would be frivolous, again raising substantial concerns that this case was filed for an improper purpose: to leverage the substantial cost of litigation to obtain a modest settlement notwithstanding the absence of a meritorious claim.

None of the RX111, ISL1801 and PTX30W products include any of the wireless charging requirements of the claims (e.g., “battery power source” and “transmitter,” among others). Thus, there does not appear to be any plausible direct infringement case against these products. Nor is there any evidence of indirect infringement. Moreover, the RX111 and ISL1801 products were both on the market *before* the Koji IP provisional application was filed in December 2016. Attached are data sheets for the RX111 (May 2016) and the ISL1801 (July 2014) products. Even if there were somehow a viable direct infringement claim against them, the datasheets would be invalidating prior art.

These additional “accused” products appear to have been selected not because they plausibly include the limitations of the claims, but rather because they can be leveraged to expand the potential exposure to Renesas to encourage some sort of settlement. Because there are no credible infringement arguments against any of these products, however, there cannot be any non-frivolous claims directed towards them.

As we have previously stated, this action should not have been filed and should be promptly dismissed. Koji IP was plainly aware of the manifest failings of this case — previously set forth by Renesas in the motion to dismiss in the Colorado action — before this action was filed, raising issues under Federal Rule of Civil Procedure 11 and 28 U.S.C. § 1927. This case cannot be salvaged by casually asserting infringement by products that cannot infringe, especially products that would be prior art to the patent-in-suit.



William P. Ramey, III
January 18, 2024

We note that Koji IP has not provided a written response to the numerous issues we raised in our prior letter, further indicating the lack of substantive merit. If Koji IP has a substantive response to the issues raised in this and our prior letter, please put that response in writing, with citation to relevant law and facts, and we will consider it.

Otherwise, please be again advised that if this matter moves forward, Renesas will seek to have this case declared “exceptional” under § 285 and it will seek its fees. *See generally EscapeX IP LLC v. Google LLC*, 2023 WL 5257691 (N.D. Cal. 2023). However, the best resolution of this case continues to be voluntary dismissal by Koji IP.

If you have any questions, please let me know.

Sincerely,

Jason A. Crotty